

How to simulate a semiconductor quantum dot laser: general description

(Como simular um laser de pontos quânticos semicondutores)

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Semiconductor quantum dot laser is a recent class of laser sources which is an alternative to the conventional bulk and quantum well lasers. In the development of laser sources an important step concerns the modeling of the devices to be realized, and this requires the use of good methods able to incorporate various physical phenomena present in real devices. In this paper we show in details the implementation of a quantum dot laser simulator and apply it to simulate the switching-on behavior and other characteristics of a real quantum dot laser source. The description here presented intends to be a help for teaching or even basic-research in that particular field of optoelectronics.

Keywords: quantum dots laser, simulator, device modelling.

Laser de pontos quânticos semicondutores é uma recente classe de fontes laser que se apresenta como alternativa aos lasers de poços quânticos. Durante o desenvolvimento de fontes laser um passo importante consiste na modelagem dos dispositivos a serem realizados, e isto requer o uso de bons métodos, capazes de incorporar vários fenômenos físicos presentes em dispositivos reais. Neste artigo mostramos em detalhes a implementação de um simulador de laser de pontos quânticos e o aplicamos na simulação do comportamento de *switching-on* e outras características de uma fonte laser de pontos quânticos real. A descrição aqui apresentada pretende auxiliar o ensino ou mesmo a pesquisa básica nessa sub-área da optoeletrônica.

Palavras-chave: laser de pontos quânticos, simulador, modelamento de dispositivo.

1. Introduction

Semiconductor lasers (SL) had their origin in 1962, when Robert N. Hall and his co-workers from General Electric demonstrated for the first time the coherent emission of light from a semiconductor diode [1], only a few years after the first demonstration of the stimulated emission in hydrogen spectra [2] in 1947, the proposition of the concept of light amplification by stimulated emission of radiation by Gordon Gould [3, 4] in 1959, the experimental realizations of a working laser (which was a solid-state flashlamp-pumped synthetic ruby crystal emitting only in pulsed regime at 694 nm) by Maiman in 1960 [5], and the realization of the first gas laser (using helium and neon) by Javan and colleagues [6] in the same year.

The device demonstrated by Hall was made by gallium-arsenide and emitted at 850 nm (near infrared). At that time, much research started to be done aiming to show the semiconductor lasing phenomenon in different wavelengths, achievable through the use of different material alloys. However, the still unpractical threshold current densities, J_{th} , of more than 50 kA/cm² was

one of the main factors that limited the room temperature operation of the devices. The performance of SL was improved a lot after the proposition (in 1963) of the concept of heterostructure [7, 8], which consists in the union of two semiconductor materials having different energy gaps, causing a discontinuity in the resulting energy band diagram and, thus providing carrier confinement in the growth direction. In fact, room temperature operation of semiconductor lasers was possible in 1969, in pulsed mode [9], and in 1970, in CW regime [10]. Then, the next step of the progress was the reduction of J_{th} to about 0.5 kA/cm², an acceptable value that put the semiconductor laser as a good technology for coherent light generation in many applications.

In 1974 a paper of Dingle and Henry changed a lot the scenario of SL technology [11]. In that work they presented the idea of exploiting quantum effects in heterostructure SL as a way to obtain wavelength tunability and achieve lower threshold lasing than in conventional (bulk) lasers. This was a seminal paper in the field of optoelectronics because it showed the advantages of quantum well (QW) lasers over the conventional (bulk) ones, and moreover, it also gave a clear

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indication of the improved lasers that could be fabricated by further exploiting the reduced dimensionality of the devices, which later would be referred to as quantum wires (2D-confinement) and quantum dots (3D-confinement). The first important advantage of QW lasers is the possibility to vary the lasing wavelength by only changing the width of the quantum well width during the growth process. Secondly, quantum well lasers can deliver more gain per injected carrier (that is, the differential gain, dg/dN is higher, thus providing higher speed) than bulk lasers; this implies that a lower threshold current density is required and, as a first consequence of the lower current injection, the internal losses, α_i , are diminished in these devices. This means higher efficiency; the second consequence is that the refractive index change is smaller in QW lasers, which means lower frequency chirp and, consequently, narrower linewidth than in bulk lasers. These advantages in size-quantized heterostructures come mainly from the density of states profile (DOS) for carriers near the band-edges, summarized in Fig. 1. The point is that the narrowing of the DOS distribution (as the size-quantization is increased from no-confinement up to 3D-confinement) results in confinement of carrier energy distribution to narrower spectral regions. Another ingredient is that, as known from quantum mechanics [12], a high gain requires population inversion in energy levels with a high density of states. In bulk lasers this takes place only after the filling of the lower lying energy levels, whereas in QW devices the peak gain is associated with energy levels at the bottom of the bands (for a complete description, see *e.g.* Ref. [12, chap. 10] or Ref. [13, chap. 4]). This positive effect is enhanced in QD materials, as it has ideal delta of Dirac-like density of states, thus providing very high optical gain, in theory.

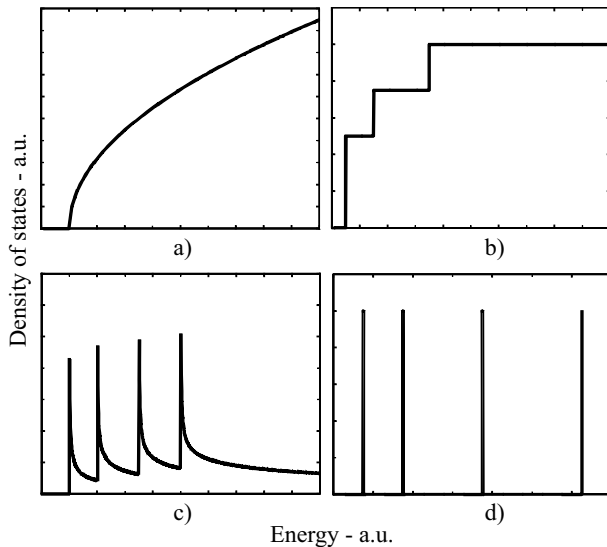


Figure 1 - Density of states *versus* energy profile in semiconductor materials. In a) bulk material, b) quantum wells, c) quantum wires and d) quantum dots.

Indeed, in the early 80's the increased gain of quantum dots was one of the motivations to researchers looking for still higher performance lasing devices. In 1986, Asada [14] theoretically demonstrated material gain in a QD up to 10^4 cm^{-1} , a value much higher if compared to the QW case. Despite of the pessimistic projections that the non-uniformity of dots size in the growth process would be crucial to the failure of QD lasers, the first experimental demonstration of lasing in self-organized dots [15] opened the way to use quantum dots in the active layer of SL. Low-threshold current injection was demonstrated in 1994 [16] and it was temperature-insensitive up to 150 K. In what followed, some techniques were proposed to improve the device performance, especially for what concerned the temperature stability of the threshold and its reduction, the room-temperature of the device and the CW power levels achievable. These techniques were the stacking of QD layers [17], the insertion of QD in a QW [18], the use of a matrix material having higher band-gap energy [19] and the seeding of QDs [20]. The evolution on the growth processes associated to the benefits carried on by those fundamental enhancement techniques allowed for the increased research on the use of quantum dot devices in a wide range of applications, from telecommunications to medicine (see, *e.g.*, Ref. [21]). During the design of the lasers, an important and indispensable step concerns the modeling of the lasing properties through some computer tool (often based in a set of rate equations [22]) able to give predictions of the expected behavior of the real device, in order to check whether the specifications are matched.

In this context, in view of the increasing research interest in this field and of its relevance, in this work it is presented in details a procedure to be followed in the development of a quantum dot laser simulator. This work is organized as follows: in the next section it is made a review of a didactic and systematic procedure to easily write down the rate equations for a quantum dot laser. After that, in section 3 we describe in details the implementation of our simulator, which can be easily implemented in class. To illustrate the use of the simulator, in section 4 some basic results obtained with the simulator are discussed. At last, the conclusions.

2. Rate equations description

As in any other semiconductor light source, also in quantum dot lasers the well-known electronic transitions between conduction (CB) and valence band (VB) carriers summarized in Fig. 2 take place. In that figure they are reported the spontaneous emission, the stimulated absorption, the stimulated emission and the non-radiative transitions, as well. Single levels on both the conduction and valence band are considered only for the sake of simplicity. The first of the processes represents a spontaneous recombination of an electron in the CB

and a hole in the VB, resulting in the generation of a photon having no-correlation with other photons propagating in the cavity and, because of that, called incoherent emission. The second process represents the photon absorption by the active material, promoting the generation of an electron-hole pair, which increases the carrier density in both the CB and VB. The third transition is the responsible by the optical gain inside the cavity; it represents the emission of a photon (by means of an electron-hole recombination) after the stimulus of another photon already present in the cavity. It is usually said this process provides optical gain because it starts with one photon and ends with two photons. Finally, the non-radiative transitions represent a class of interactions for which no-photon emission is observed. As examples of such process it can be cited recombination due to semiconductor defects (and impurities), surface and interface recombination and, at last, the Auger recombination (see, *e.g.*, Ref. [13, chaps. 1 and 4]).

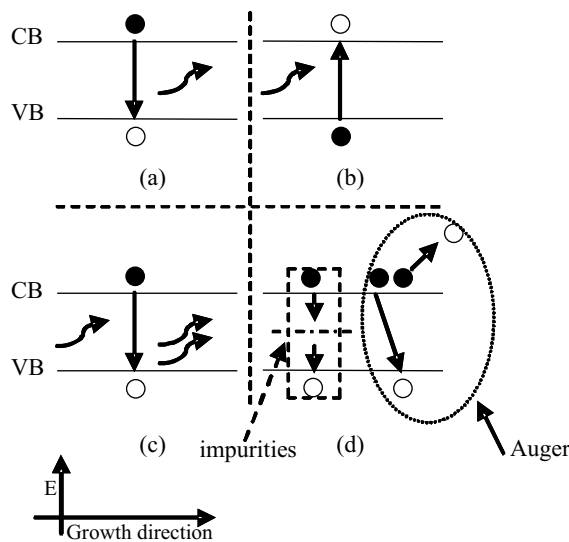


Figure 2 - Simplified diagram of the electronic transitions in a semiconductor material: (a) spontaneous recombination; (b) stimulated absorption; (c) stimulated emission; (d) non-radiative recombination. The dashed region in (d) represents the non-radiative recombination, such those due to semiconductor impurities; the circled-dotted process seen in (d) represents an Auger recombination.

In the literature of semiconductor lasers and optical amplifiers those phenomena are often represented by means of time constants. Then, every transition described above can be included in a rate equations model (see, *e.g.*, Refs. [13, 22, 23]). In this formalism the device to be studied is mathematically represented by a set of time-differential equations for carrier and photon densities; the time constants of every transition are included in these equations and they say the number of carriers (or photons) involved in each process in the time unit. This allows one to study, along time, the balance between electron-hole pairs generation/recombination and photon generation/absorption.

These quantities will then be used to calculate the characteristics of interest in the design of a real device as, for instance, the output power, the laser spectrum, the net modal gain, etc. In its simplest form, the rate equations procedure consists of representing the system through a set of, at least, 2 coupled equations. One of them regards the carrier density, whereas the other regards the photon density, the coupling being responsible by the balance between photon and carrier number inside the cavity. For the sake of simplicity, let us explain the balance by assuming a constant current injection rate (this means that at each unit time a very precise amount of electron is injected into the laser active region). This pumping process increases the number of electron-hole pairs in the device (electrons in the conduction band and holes in the valence band). Besides this phenomenon, also the photon absorption in the semiconductor material increases the number of electron-hole pairs (please, refer to Fig. 2b). On the other side, the number of electron-hole pairs is reduced by non-radiative recombination and photon-emitting processes, as well. By non-radiative recombination we mean a recombination between one electron in the conduction band and a hole in the valence band, satisfying the selection rules [13, 23], for which no photon emission is observed. The photon-emitting processes are those which generate photons through spontaneous recombination and stimulated emission. As it can be noticed, these processes altogether contribute to an increase or a decrease in the carrier number in a time interval Δt , depending whether the contribution from electron-hole generation terms is higher than that from the recombination ones. The description here reviewed allows one to write the time variation of the number of carriers as

$$\frac{dN}{dt} = (\text{Pump}) + (\text{Stim Abs}) - (\text{Stim Emis}) - (\text{Non - radiative rec}) - (\text{Spont rec}). \quad (1)$$

For the photon number the idea is quite similar; from the processes cited above, one must have in mind that the stimulated emitted photons and the spontaneous recombination processes will contribute to increase the photon density, because these processes are producing light inside the device, whereas the photons involved in the stimulated absorption processes cause the opposite effect, thus decreasing the fluctuation of photon number in a time interval Δt . Besides the stimulated absorption, also the material optical loss will reduce the photon density; this parameter is usually expressed in units of cm^{-1} and expresses how many photons are lost as they propagate at each centimeter of the cavity and as they impinge on the cavity end mirrors. From these phenomena one can easily write down an equation for the photon number variation

$$\frac{dS}{dt} = -(\text{Stim Abs}) + (\text{Stim Emis}) - (\text{Opt loss}) - (\text{Spont rec}). \quad (2)$$

The Eqs. (1) and (2), after have the terms in parenthesis replace by the real ones, constitute the set of rate equations of a bulk laser. In the case of a QD laser, the equations for carriers must be separated into different equations accounting for carriers confined in the fundamental state (ground state, GS) and for carriers confined in the excited states (ES). Furthermore, in the literature it is often taken into account the contribution of the carriers at higher energies (for example, those in the wetting layer, WL, or in the barrier region). For the purposes of the present work, the quantum dots of the laser active region are considered to have one fundamental confined state and the first excited state only, hereafter referred GS and ES. A typical representation of these dots, with the various processes commonly considered in the modeling of quantum dot lasers is seen in the simplified diagram of Fig. 3, which shows the conduction band profile and the various scattering events taken into account here. As a consequence of choosing the excitonic approximation to describe the interaction between electrons and holes, also in the valence band the carriers can be either in the GS or ES. This is the reason why we simply refer to one of the bands, because according to the excitonic model what happens to electrons in the CB happens to holes in the VB, too (see, for instance, Refs. [13, 24]).

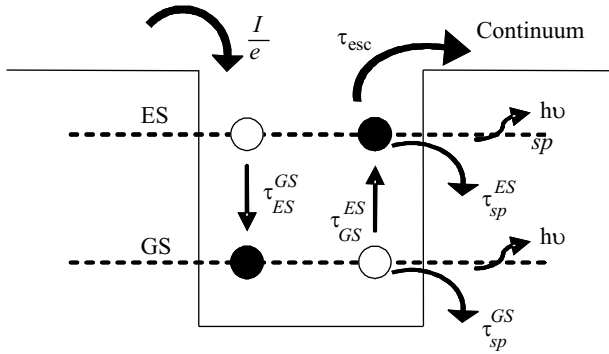


Figure 3 - Energy diagram of a simplified quantum dot laser active region. They are shown the average times of the processes which the carriers are subject to.

From the picture we conclude that each electron of the current, I/e is directly injected into the quantum dot and become confined in the ES for a time τ_{ES}^{GS} , after which it will relax into the ground state. Besides the possibility to relax into the GS, an electron in the ES can either spontaneously recombine with a hole of the valence band (this happens every τ_{sp}^{ES} seconds) or undergo a stimulated emission process, generating a photon of energy $h\nu$ equal to the energy of the incident photon. Furthermore, there is the possibility of escape

into the continuum, which happens every τ_{esc} seconds; the electrons which get out of the dot do not contribute to the lasing. For the electrons which relax into the ground state there are practically the same processes but with different rates; the escape from the GS implies a confinement in the ES, which happens every τ_{GS}^{ES} seconds, in average. Based on this description our model assumes the following form

$$\begin{aligned} \frac{dN_{ES}}{dt} &= \frac{I}{e} + N_{GS}\rho_{ES}\frac{1}{\tau_{GS}^{ES}} - N_{ES}\rho_{GS}\frac{1}{\tau_{ES}^{GS}} - \\ &N_{ES}(1 - \rho_{ES})\frac{1}{\tau_{sp}^{ES}} - N_{ES}\frac{1}{\tau_{esc}} - v_g\Gamma g_{ES}S \\ \frac{dN_{GS}}{dt} &= N_{ES}\rho_{GS}\frac{1}{\tau_{ES}^{GS}} - N_{GS}\rho_{ES}\frac{1}{\tau_{GS}^{ES}} - \\ &N_{GS}(1 - \rho_{GS})\frac{1}{\tau_{sp}^{GS}} - v_g\Gamma g_{GS}S \\ \frac{dS}{dt} &= v_g\Gamma g_{GS}S + v_g\Gamma g_{ES}S - \frac{S}{\tau_{ph}} + \beta_{sp}\frac{N_{GS}}{\tau_{sp}^{GS}} + \\ &\beta_{sp}\frac{N_{ES}}{\tau_{sp}^{ES}} \end{aligned} \quad (3)$$

In these equations I is the injected current, e is the unity electrical charge, $\rho_{ES(GS)}$ is the probability of finding an empty state in the excited (ground) state, Γ is the optical confinement factor (see, *e.g.*, Refs. [13, 23]), $g_{ES(GS)}$ is the material gain for carriers in the excited (ground) state (Ref. [25, chap. 12]), v_g is the group velocity, β_{sp} is the spontaneous emission factor (gives the amount of spontaneous emission which couples to the cavity optical mode), $\tau_{sp}^{GS(ES)}$ are the spontaneous recombination times of carriers in the ground (excited) state, τ_{ph} is the cavity photon lifetime, τ_{GS}^{ES} is the carrier escape time from the ground state into the excited state and τ_{ES}^{GS} is the carrier relaxation time from the excited state into the ground state. At last, τ_{esc} is the average time after which an electron escapes from the dot into the continuum.

Since our purpose is mainly didactic we neglected the existence of the wetting layer, therefore avoiding including a fourth equation for carrier balance in the set (3). Even knowing the wetting layer limits the gain performance of 1D-devices (Ref. [26]) - and this could be an indication of a possible limitation for the gain in 0D-devices, and also knowing that the presence of the wetting layer will affect the dynamical behavior (Ref. [27]) of the device, the general procedure to implement a quantum dot laser simulator does not depend on the presence of this 2D-reservoir of carriers. The set of rate equations presented here differs to a model describing a quantum well laser mainly by the presence of excited states and by the Pauli Exclusion Principle. While in quantum well lasers people are interested in having only one emission wavelength, which takes place when only the fundamental confined state is present (this is, in turn, achievable by controlling the width of the well), in quantum dot lasers, the excited states

are inevitably present, consequence of the self-assembly growth process [21]. Besides, in quantum dots, due to the 3D-spatial confinement, there is a limitation in the number of carriers that can occupy a given confined state. This means that a carrier can become captured in the ES of a given dot if, and only if there is some microstate (set of quantum numbers) available at that level (Pauli Exclusion Principle). The same happens for carriers relaxing in the GS. The consequence is that the scattering events illustrated in Fig. 3 (and, thus, the time constants) are dependent on the average carrier occupation in the confined states. On the contrary, in QW lasers carriers are free to move in a plane, and then in practice there will always be a microstate available to be occupied by an “incoming” carrier, in a given confined state.

From the material point of view, this difference between QW and QD lasers makes the performance of the last one higher if compared to the first one, because, as mentioned before, QD lasers will require lower levels of current injection to reach threshold and to keep operating, and the threshold current will be ideally temperature-insensitive (to better understand the role of the delta of Dirac-like density of states in quantum dots, think of an ideal, isolated quantum dot with only one confined electron and one confined hole state; in this case carrier injection occurs only into these states - therefore, the population inversion is easily achievable - and no thermal excitation is possible - therefore, the carrier configuration in the dots is not changed by thermal effects. The result is an extremely low and temperature-insensitive threshold current). For what concerns the presence of excited states in QDs, an interesting consequence is that two-wavelength lasing (or more) is possible in these devices, making the emission spectrum broader and, therefore, advantageous for applications like optical coherence tomography [21, 28]. Exploiting two-wavelength lasing switching in QD was demonstrated in [29] and discussed in pulse-mode in [30].

3. Numerical solution

The set of Eqs. (3) can be solved to obtain the stationary conditions by using any well-known technique based on finite difference method; in the literature it is commonly used the MATLAB solver ODE15. In this paper we show in details our implementation, which was based on a fourth-order Runge-Kutta method and showed good solvability. Let us briefly review the implementation of this method.

Given a differential equation of the form

$$\frac{dy}{dx} = f(x, y). \quad (4)$$

one is interested in obtaining the function $y(x)$ start-

ing from its derivative. The Runge-Kutta method (which is an improvement of the Euler method), in its 4th-order form states that the function evaluated at a step $i+1$ depends on the function evaluated at the step i and a weighted average of the function evaluated at intermediate steps between i and $i+1$. In the following we list this result in an appropriate way (see, *e.g.*, Ref. [31, chap. 17])

$$\begin{aligned} y_{i+1} &= y_i + \frac{h}{6} (f_0 + 2f_1 + 2f_2 + f_3) \\ f_0 &= f(x_0, y_0) \\ f_1 &= f\left(x_0 + \frac{h}{2}, y_0 + \frac{h}{2}f_0\right) \\ f_2 &= f\left(x_0 + \frac{h}{2}, y_0 + \frac{h}{2}f_1\right) \\ f_3 &= f(x_0 + h, y_0 + hf_2) \end{aligned} \quad (5)$$

Now these equations have to be translated into our set of equations for carriers and photons to allow for a numerical implementation. In our problem y must be read as S , N_{GS} and N_{ES} ; h is the time step dt because our equations are time-dependent (thus, x must be translated to t). The values f_0 , f_1 , f_2 and f_3 are calculated for each of the state variables and represent the differential rate equations in Eq. (3); this means the program will solve $3 \times 4 = 12$ equations per iteration. The fourth-order Runge-Kutta routine developed is based on the equations below

$$\begin{aligned} h &= dt \quad ; \quad x = t \\ f_0 &= \begin{bmatrix} f^{ES}(t_i, y_i) \\ f^{GS}(t_i, y_i) \\ f^S(t_i, y_i) \end{bmatrix}, \\ f_1 &= \begin{bmatrix} f^{ES}\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_0\right) \\ f^{GS}\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_0\right) \\ f^S\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_0\right) \end{bmatrix}, \\ f_2 &= \begin{bmatrix} f^{ES}\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_1\right) \\ f^{GS}\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_1\right) \\ f^S\left(t_i + \frac{dt}{2}, y_i + \frac{dt}{2} \cdot f_1\right) \end{bmatrix}, \\ f_3 &= \begin{bmatrix} f^{ES}(t_i + dt, y_i + dt \cdot f_2) \\ f^{GS}(t_i + dt, y_i + dt \cdot f_2) \\ f^S(t_i + dt, y_i + dt \cdot f_2) \end{bmatrix}, \\ y_{i+1} &= y_i + \frac{dt}{6} (f_0 + 2f_1 + 2f_2 + f_3), \\ y_i &= \begin{bmatrix} N^{ES}(t_i) \\ N^{GS}(t_i) \\ S(t_i) \end{bmatrix}. \end{aligned} \quad (6)$$

As it can be seen in Eqs. (6) our data structure for the output variable y consists on a matrix having 3 rows (1st for carriers in ES, 2nd for carriers in GS and 3rd for photons) and as many columns as the time vector is long (because our implementation is fixed-step-like). The variables assigned to $f_0 \dots f_3$ are column-vectors because they contain the solution of every rate equation; these variables are supposed to be cleared after the end of each step (only after being computed in the output y). In Eq. (6) the notation $f^{ES(GS,S)}$ stands for the solution of the rate equation for carriers in the ES (carriers in the GS, photons S).

4. Results

In order to motivate readers to develop this simulator for teaching and research purposes, in this section we generate a couple of results which can be used to illustrate typical problems in optoelectronics lectures. We also point to a common research problem in the field of semiconductor lasers and present the use of the simulator as a tool to investigate it.

4.1. Laser switch-on

The first result concerns the laser response to an electrical current step injection in its active region. This is, in fact, one of the first pictures presented in a typical course of optoelectronics, because it is useful to introduce the concept of relaxation oscillations and their origin, as well as to highlight the peak and stationary powers achievable and how they depend on the injected current, device geometry, etc. The use of the simulator provides a practical way to illustrate these dependences by only changing some parameters (*e.g.*, those reported in the Appendix) in the program or defining them as input data. In Fig. 4 we report the switch-on for an injected current constant and equal to 24 mA. In this figure, the relaxation oscillations seen represent the interplay between the filling of the GS and ES energy levels with carriers and the generated photons in the cavity, thus, are related to the dynamic of carriers inside the dots (see, *e.g.*, Ref. [32]). Besides, readers should notice that the laser simulated in Fig. 4 reached the stationary condition at the time instant $t \sim 3.5$ ns (hence a 5 ns-long simulation could be avoided). In a lecture should also be highlighted that even if the output reaches a peak near 40 mW, the stationary power is only 5.6 mW. This is an important fact concerning the application in which the device will be used; the application does determine whether these two parameters (peak power and stationary power) are acceptable.

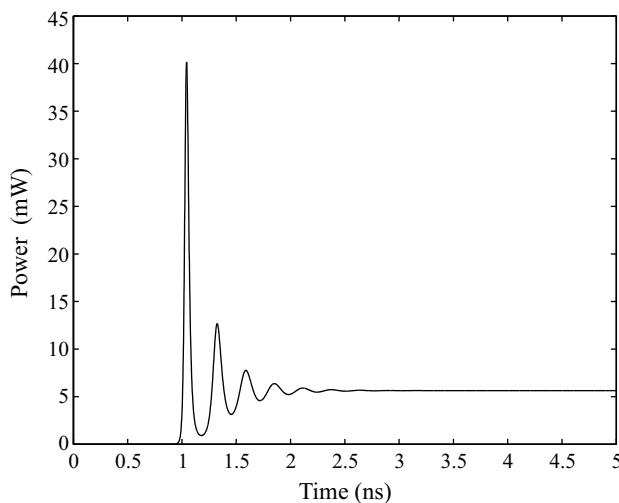


Figure 4 - Laser response to a current step of 24 mA.

4.2. P-I curve

Another much popular laser feature seen very often in laser textbooks is the P-I characteristic. In the Fig. 5 is plotted the P-I of the simulation having the data presented in the Appendix. The P-I curve tells one the output power of a laser when a DC current is injected into its active region, and it is drawn by taking the stationary output power of different simulations, each one for a given input DC current. The P-I is indispensable in the modeling of a laser because it allows one to obtain the threshold current of the device to be designed, which is a very important parameter since it is strictly related to the power consumption of the laser. From the P-I one can get the threshold current by checking the current value from which the output power starts an increasing linear behavior, therefore $I_{th} = 12$ mA in Fig. 5. Another important laser feature which can be extracted from the P-I is the slope efficiency, dP/dI , used to quantify the amount of emitted power variation observed when the injected current variation is dI , above the threshold. This parameter estimates somehow the power efficiency of the device, once higher slope efficiencies means a capability to emit more power as a response to lower current injection levels. In the simulation presented in Fig. 5 the slope efficiency is approximately 0.5 mW/mA.

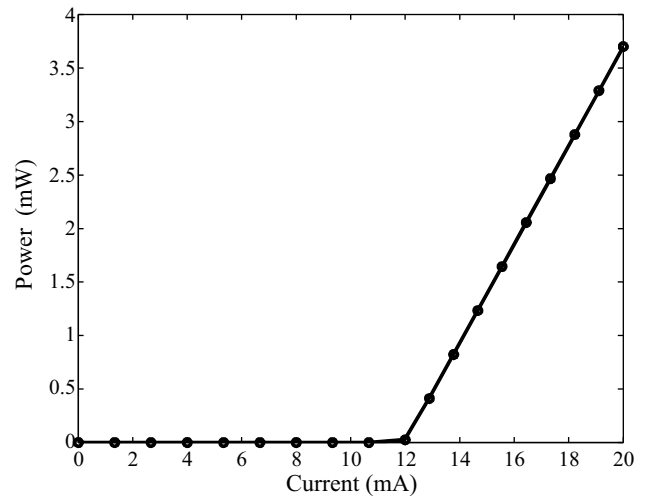


Figure 5 - Simulated P-I of a quantum dot laser whose parameters are given in Appendix. Note the threshold current equal to 12 mA.

4.3. Switch-on delay time

Readers interested in using the simulator here presented as a tool to do research in modeling topics of quantum dot lasers could think of using it to study also some dynamic properties, which obviously would require some improvements on the basis of the rate equations modeled. Only to illustrate the potential use of the simulator for this purpose, we choose here to consider the switch-on time of the device as a parameter to analyze.

It was calculated as done in Ref. [33], which means we say the lasing starts (*i.e.*, it switches-on) when the output power reaches 50% of the first peak value (please, refer to parameter τ in Fig. 6).

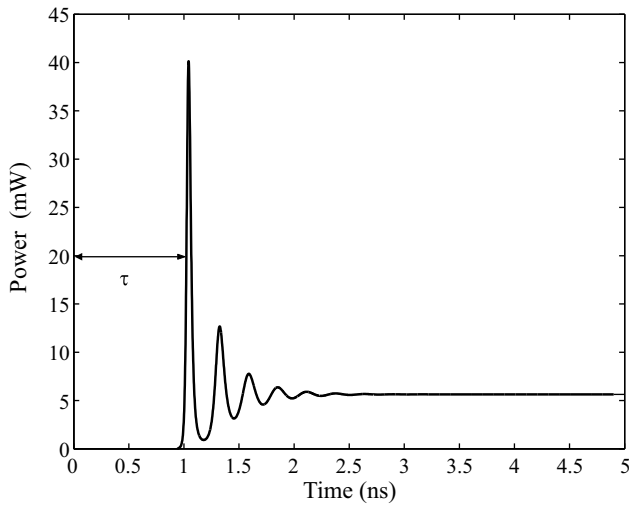


Figure 6 - The switch-on time is defined as the time the laser takes to reach half the maximum power. In the figure it is represented by τ .

As reported in the literature (Ref. [33 and references therein]) the switch-on time is inversely proportional to the injected current and this dependence is expected to have an exponential shape; therefore the higher is the applied current over threshold, the earlier starts the lasing. This can be seen in Fig. 7, in which the current is taken in the range $[2; 4; 6; 8] * I_{th}$. In the simulations the current is always injected at $t = 0$. Besides, in Fig. 8 the expected behavior for the switch-on time *versus* injected current is reported for currents ranging from $2 * I_{th}$ until $12 * I_{th}$.

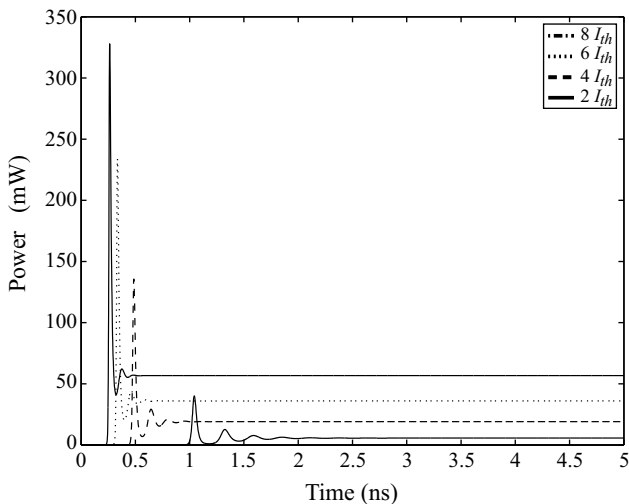


Figure 7 - Laser time response for different values of injected current over threshold, putting in evidence that the higher is the current, the lower is the switch-on time, as known from the literature (Ref. [33]).

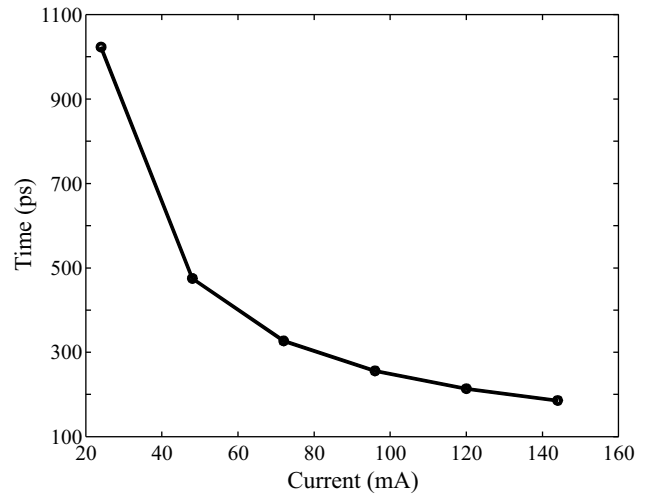


Figure 8 - Switch-on time versus current. This picture collects the parameter τ for different values of injected DC current. The threshold is 12 mA and this exponential behavior agrees with the literature (Ref. [33]).

Obviously, additional investigation which goes over the scope of this work can be done from this point as, for instance, the influence of relaxation time into the parameter τ , or even the influence of the geometry of the device into the switch-on time. This last, being suitable for academic purposes is reserved for a future work.

It must be emphasized that the present tool provides a simple way to study the effects of these parameters on the performance of the device and on its geometry, because only a change in the input data is enough to generate the output accordingly. Finally, from the implementation point-of-view, the development of the simulator using a fixed-step method like the fourth-order Runge-Kutta here presented is more suitable than using a variable-step one like the ODE15 of Matlab because the post-processing routines usually needed to analyze additional features of the laser output are easier to deal with in the first case.

5. Conclusions

A quantum dot laser simulator based on the rate equations formalism was presented with an academic focus. Starting from the basic concepts of electronic transitions, we wrote a very simplified set of 3 rate equations to allow for the simulation of QD lasers. The dots were considered to have 2 confined energy levels, labeled excited and ground state. Then, we presented in details the steps to be followed in order to solve the rate equations through the 4th order Runge-Kutta method. To illustrate the applicability of the simulator we presented as results the switch-on behavior of a particular QD laser, the typical P-I characteristics, and the dependence of the switch-on time on the injected DC current. These results make in evidence the fact that various important concepts, typical of courses of optoelectronics

can be explored and easily illustrated with the help of such kind of simulator.

Appendix

Table containing some of the data used in the simulations.

Parameter	Value
Device length	3 mm
Active region width	4 μm
Optical confinement factor	0.059
Effective refractive index	3.332
Material loss	1.5 cm^{-1}
Dot height	6 nm
Dot radius	15.5 nm
Dot density	400 μm^{-2}
Relaxation time ES into GS	7 ps
Spontaneous recombination GS	1.2 ns
Spontaneous recombination ES	1.2 ns
Spontaneous emission factor	10 ⁻⁵
Right/Left reflectivity	0.03/0.95

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