

# A Soft Switching Bidirectional DC-DC Converter based on Three-State Switching Cell to Photovoltaic Systems Applications

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## Keywords

«Bidirectional», «DC-DC Converter», «Photovoltaic», «ZVS».

## Abstract

This paper presents a new three-port soft switching dc-dc converter topology based on the bidirectional version of the three-state switching cell, feasible to interconnect battery banks with a dc link and photovoltaic panels. All active switches are designed to operate in Zero Voltage Switching (ZVS). The theoretical analysis and experimental results a 2 kW prototype are presented.

## Introduction

Bidirectional dc-dc conversion in photovoltaic systems has been extensively studied and is currently in full development. Several applications require step up from low dc voltage levels (12 V to 48 V) to higher dc voltages (200 V, 311 V or 400 V). Several topologies [1]-[2]-[3] have been developed in recent years for photovoltaic systems. In such applications, the main requirements are high voltage gain, high power density, and high efficiency. Besides, in order to avoid the circulation of leakage currents through the parasitic capacitances, isolation is an interesting solution [4].

The proposed converter is based on the bidirectional dc-dc Dual Active Bridge (DAB) converter, which is very attractive because of its features including ZVS, bidirectional power flow, low stress regarding the involved components, and high power density [5], [6]. Moreover, the DAB is widely applied in dc distribution systems, UPSs (Uninterruptible Power Supplies), bidirectional power flow dc systems [7], [8], [9] and ac-dc power systems [10].

Considering that most usual electronic loads e.g. TV, personal computers, audio systems, electronic ballasts, and many others can be directly connected to a 311-V dc link, an architecture for a dc distribution system is shown in Fig. 1. Each photovoltaic module is connected to the 311-V dc link through individual dc-dc converters that perform MPPT (Maximum Power Point Tracking). Electronic loads are connected to the dc link and a dc-ac bidirectional converter is responsible for interconnecting the system to the ac mains.

The proposed converter is used to interconnect the 311-V dc link to the battery bank, with consequent flexible energy management that characterizes smart grids. Additionally, it is possible to connect photovoltaic panels to the converter, thus avoiding the use of an additional dc-dc stage.

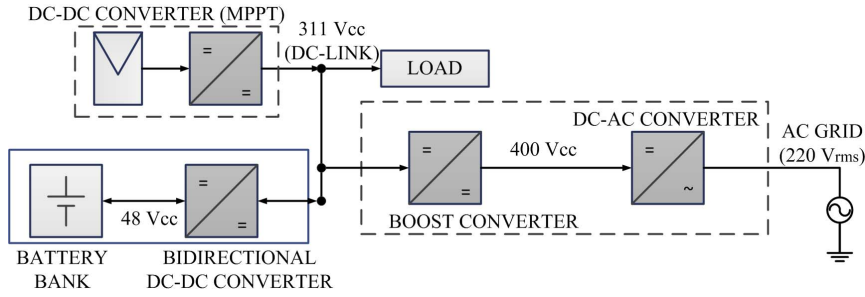


Fig. 1: Proposed photovoltaic system proposed based on DAB-3SSC.

## Proposed topology

The proposed converter is based on the DAB [5] and the Three-State Switching Cell (3SSC) [11], as shown in Fig. 2 (a). Various other converters are based on 3SSC [12] and [13]. Besides topologies with high voltage gain [14]-[15]-[16]. And also with characteristics similar to the one presented here [17]-[18]. An initial study of this topology is presented in [19].

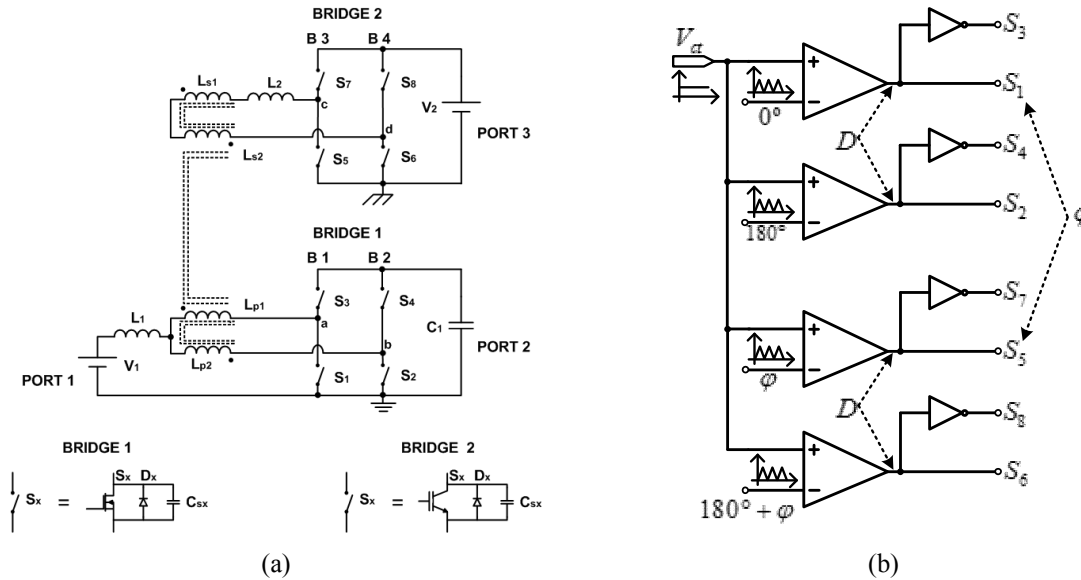


Fig. 2: DAB-3SSC topology: (a) Proposed topology; (b) Modulation strategy.

## Principle of operation

The switches are driven as described: the duty cycle ( $D$ ) is applied to the lower switches at the primary and secondary sides. Additionally, the primary legs are phase-shifted by  $180^\circ$  as well as the secondary ones. Then voltage  $V_{ab}$  is applied to the primary side and voltage  $V_{cd}$  is applied to the secondary side. Parameter  $\phi$  is defined as the phase-shift angle between the fundamental components of voltages  $V_{ab}$  and  $V_{cd}$ . The modulation strategy of the converter is presented in Fig. 2 (b). Thus, the proposed modulation results in sixteen possible operation regions, as shown in Fig. 3 (a). Fig. 3 (b) presents the main waveforms.

### First stage

This stage begins with the switch  $S_3$  for switching the switch  $S_1$ . The switches  $S_2$ ,  $S_6$  and  $S_7$  remain in conduction. The current  $i_1$  on port 1 flowing through the inductor  $L_1$  grows linearly. The currents in the primary one,  $i_{p1}$ , and  $2 i_{p2}$ , increase and decrease linearly, respectively. The capacitor is  $C_1$  with its zero  $i_{c1}$  current. Already current in the secondary of the transformer  $i_s$  decreases linearly until it reaches the maximum negative value. In this step, no power transfer to  $V_2$ , as presented in Fig. 4 (a).

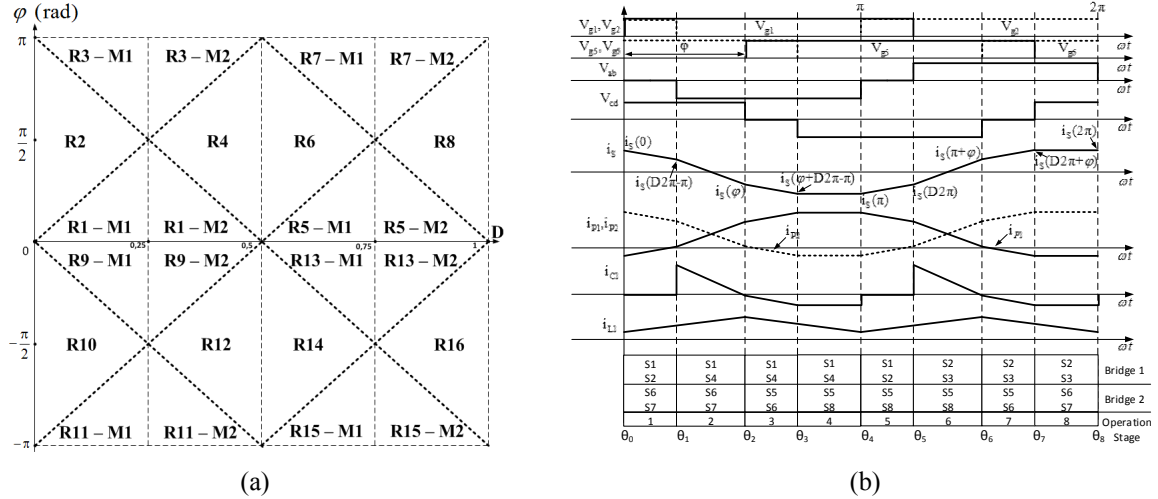


Fig. 3: DAB-3SSC topology: (a) Operation regions; (b) Main waveforms.

### Second stage

This stage begins by switching switch  $S_2$  to the switch  $S_4$ . The switches  $S_1, S_6$  and  $S_7$  remain in conduction. The current  $i_1$  decreases. The  $i_{p1}$  and  $i_{p2}$  currents continue increasing and decreasing, respectively. The current  $i_s$  remains downward. In this stage, no power transfer to  $V_2$ , as presented in Fig. 4 (b).

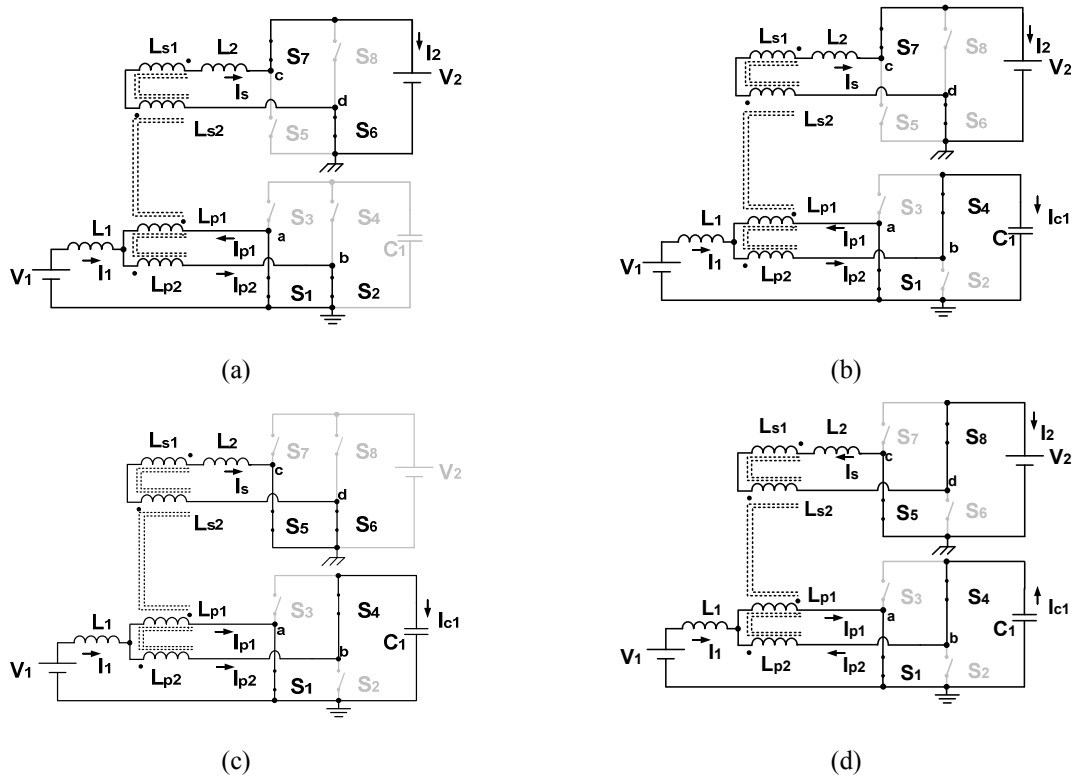


Fig. 4: DAB-3SSC operation stages: (a) First; (b) Second; (a) Third; (b) Fourth.

### Third stage

This stage begins with the switching of the  $S_7$  switch to the  $S_5$  switch. The switches  $S_1, S_4$  and  $S_6$  continue to conduct. The current start value  $i_1$  in  $I_{1,max}$ , and decreases linearly, or transferring energy from the inductor to the circuit  $L_1$ . The current  $i_{p1}$  decreases to zero, while the  $i_{p2}$  current increases linearly, and changes direction, to be responsible for all  $C_1$  capacitor charging current at the end of the stage. The chain starts with  $i_s$  in  $I_{s,max}$  and then grows linearly to reverse direction. In this step, no power transfer to  $V_2$ , as shown in Fig. 4 (c).

## Fourth stage

This stage begins with the switching of the switch  $S_6$  to  $S_8$  switch. The switches  $S_1$ ,  $S_4$  and  $S_5$  remain in conduction. The current  $i_1$  continues with decreasing value and thus transferring energy  $L_1$  inductor to the circuit. The current  $i_{p1}$  remains at zero value throughout this step, since the current  $i_{p2}$  remains constant value, as well as the current  $i_{C1}$  the  $C_1$  capacitor. Because of the configuration in the switch, the current  $i_s$  remains constant throughout this step. In this step, no power transfer to  $V_2$ , as presented in Fig. 4 (d).

## Quantitative analysis

In this section, we present the equivalent electrical circuits to each operating step, aiming to perform mathematical analysis. The equivalent circuit is that the secondary for simplicity. Following the equivalent circuits are presented for the first 4 stages of operation and their equations, aiming at further raising the output characteristic curve.

As the converter has sixteen operating regions, this section is shown only to R6 region. But in the end presents the static gain of all regions.

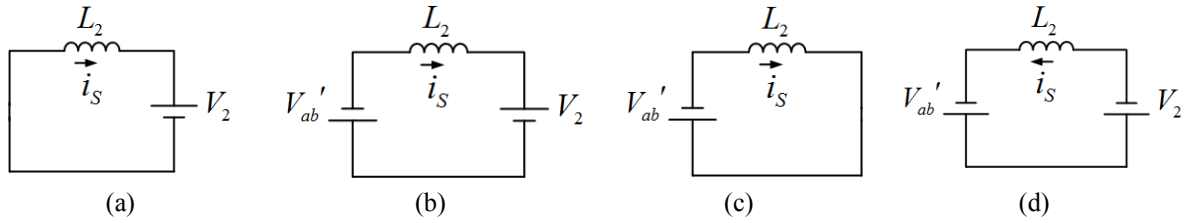


Fig. 5: DAB-3SSC stage equivalent circuit: (a) First; (b) Second; (c) Third; (d) Fourth.

### First Stage

Fig. 5 (a) presents the equivalent circuit of the first converter operation step operating in region R6.

Analyzing Fig. 5 (a), may be deduced from the equations (1) and (2).

$$V_{L_2} = -V_2 \quad (1)$$

$$i_{s(l)}(\theta) = \left( \frac{-V_2}{\omega L_2} \right) \theta + i_s(0) \quad (2)$$

The final angle of this operation phase is defined by equation (3).

$$\theta_1 = (2D-1)\pi \quad (3)$$

Substituting angular value (3) of the stage in equation (2), we obtain expression (4).

$$i_s([2D-1]\pi) = -\left( \frac{V_2}{\omega L_2} \right) ([2D-1]\pi) + i_s(0) \quad (4)$$

### Second stage

Fig. 5 (b) presents the equivalent circuit of the first converter operation step operating in region R6.

Analyzing Fig. 5 (b), may be deduced from the equations (5) and (6).

$$V_{L_2} = -V_{ab}' - V_2 \quad (5)$$

$$i_{S(II)}(\theta) = \left( \frac{-V_{ab}' - V_2}{\omega L_2} \right) (\theta - [2D-1]\pi) + i_s([2D-1]\pi) \quad (6)$$

The final angle of this operation phase is defined by equation (7).

$$\theta_2 = \varphi \quad (7)$$

Substituting angular value (7) of the stage in equation (6), we obtain expression (8).

$$i_s(\varphi) = \left( \frac{-V_{ab}' - V_2}{\omega L_2} \right) (\varphi - [2D-1]\pi) + i_s([2D-1]\pi) \quad (8)$$

### Third stage

Fig. 5 (c) presents the equivalent circuit of the first converter operation step operating in region R6.

Analyzing Fig. 5 (c) may be deduced from the equations (9) and (10).

$$V_{L_2} = -V_{ab}' \quad (9)$$

$$i_{S(III)}(\theta) = \left( \frac{-V_{ab}'}{\omega L_2} \right) (\theta - \varphi) + i_s(\varphi) \quad (10)$$

The final angle of this operation phase is defined by equation (11).

$$\theta_3 = \varphi + (2D-1)\pi \quad (11)$$

Substituting angular value (11) of the stage in equation (10), we obtain expression (12).

$$i_s(\varphi + [2D-1]\pi) = \left( \frac{-V_{ab}'}{\omega L_2} \right) ([2D-1]\pi) + i_s(\varphi) \quad (12)$$

### Fourth stage

Fig. 5 (d) presents the equivalent circuit of the first converter operation step operating in region R6.

Analyzing Fig. 5 (d), may be deduced from the equations (13) and (14).

$$V_{L_2} = -V_{ab}' + V_2 \quad (13)$$

$$i_{S(IV)}(\theta) = \left( \frac{-V_{ab}' + V_2}{\omega L_2} \right) (\theta - \varphi - (2D-1)\pi) + i_s(\varphi + (2D-1)\pi) \quad (14)$$

The final angle of this operation phase is defined by equation (15).

$$\theta_4 = \pi \quad (15)$$

Substituting angular value (15) of the stage in equation (14), we obtain expression (16).

$$i_s(\pi) = \left( \frac{-V_{ab}' + V_2}{\omega L_2} \right) (2\pi - \varphi - D2\pi) + i_s(\varphi + (2D-1)\pi) \quad (16)$$

The average value of the output current in port 3 (12) is calculated using equation (17). For the power transfer to the output of gate occurs in stage 1, 3 and 4.

$$I_2 = \frac{2}{\omega T_s} \left[ \underbrace{\int_0^{(2D-1)\pi} i_{S(I)}(\theta) d\theta}_{\text{Expressão 1}} + \underbrace{\int_{(2D-1)\pi}^{\varphi} i_{S(II)}(\theta) d\theta}_{\text{Expressão 2}} - \underbrace{\int_{\varphi+(2D-1)\pi}^{\pi} i_{S(IV)}(\theta) d\theta}_{\text{Expressão 3}} \right] \quad (17)$$

Because the AC current in the secondary is symmetrical, it can be observed that:

$$\begin{cases} i_s(0) = -i_s(\pi) \\ i_s([2D-1]\pi) = -i_s(D2\pi) \\ i_s(\varphi) = -i_s(\pi + \varphi) \\ i_s(\varphi + [2D-1]\pi) = -i_s(\varphi + D2\pi) \end{cases} \quad (18)$$

Applying the conditions of (18) in equations (4), (8), (12) and (16) and solving to  $i_s(0)$ ,  $i_s([2D-1]\pi)$  and  $i_s(\varphi + [2D-1]\pi)$  to find the initial current in the stages 1, 3 and 4, is obtained the equations (19) (20) and (21).

$$i_s(0) = \frac{V_{ab}'\pi - V_{ab}'D\pi - V_2\pi + V_2\varphi + V_2D\pi}{\omega L_2} \quad (19)$$

$$i_s([2D-1]\pi) = \frac{V_{ab}'\pi - V_{ab}'D\pi + V_2\varphi - V_2D\pi}{\omega L_2} \quad (20)$$

$$i_s(\varphi + [2D-1]\pi) = \frac{1}{\omega L_2} \left( \frac{V_{ab}'\pi - V_{ab}'\varphi - V_{ab}'D\pi -}{V_2\pi + V_2D\pi} \right) \quad (21)$$

Making explicit the expressions 1, 2 and 3 of equation (17) and substituting it equations (19) (20) and (21), is obtained equations (22) (23) and (24).

$$\int_0^{(2D-1)\pi} \left( \frac{-V_2}{\omega L_2} \right) \theta d\theta + \int_0^{(2D-1)\pi} \left( \frac{V_{ab}'\pi - V_{ab}'D\pi - V_2\pi + V_2\varphi + V_2D\pi}{\omega L_2} \right) d\theta \quad (22)$$

$$\int_{(2D-1)\pi}^{\varphi} \left( \frac{-V_{ab}' - V_2}{\omega L_2} \right) (\theta - [2D-1]\pi) d\theta + \int_{(2D-1)\pi}^{\varphi} \left( \frac{V_{ab}'\pi - V_{ab}'D\pi + V_2\varphi - V_2D\pi}{\omega L_2} \right) d\theta \quad (23)$$

$$\int_{\varphi+(2D-1)\pi}^{\pi} \left( \frac{-V_{ab}' + V_2}{\omega L_2} \right) (\theta - \varphi - (2D-1)\pi) d\theta + \int_{\varphi+(2D-1)\pi}^{\pi} \left( \frac{1}{\omega L_2} \right) \left( \frac{V_{ab}'\pi - V_{ab}'\varphi - V_{ab}'D\pi}{-V_2\pi + V_2D\pi} \right) d\theta \quad (24)$$

Solving equations (22), (23) and (24), and substituting in equation (17), we obtain the average current in the output port 3 (25).

$$I_2 = \frac{V_{ab}'}{\omega L_2} \left( -\frac{\varphi^2}{\pi} + \varphi + D2\pi - D^2 2\pi - \frac{\pi}{2} \right) \quad (25)$$

Since  $V_{ab}'$  is given by (26):

$$V_{ab}' = \frac{V_1 a}{(1-D)} \quad (26)$$

Calculating the ratio between the average current of the output port 3 to the converter input voltage  $V_1$  port 1, this gives the static gain region R6 (27).

$$G_{R6} = \frac{I_2}{V_1} = \frac{a}{(1-D)\omega L_2} \left( -\frac{\varphi^2}{\pi} + \varphi + D2\pi - D^2 2\pi - \frac{\pi}{2} \right) \quad (27)$$

Realizing the same procedure for the other regions, obtains the static gain as a function of phase shift ( $\varphi$ ). The Table I and Fig. 7 (a) presented the static gain of the converter for all operating regions.

Table I. Power in port 3.

Region (R)	Power in port 3 (p.u.) - $P_2$	
	$\varphi \geq 0$	$\varphi < 0$
R1/R9	$d \left( -\frac{\varphi^2}{2\pi} + D2\varphi \right)$	$d \left( \frac{ \varphi ^2}{2\pi} - D2 \varphi  \right)$
R2/R10	$d \left( D^2 2\pi \right)$	$-d \left( D^2 2\pi \right)$
R3/R11	$d \left( -\frac{\varphi^2}{2\pi} + \varphi - D2\varphi + D2\pi - \frac{\pi}{2} \right)$	$d \left( \frac{ \varphi ^2}{2\pi} -  \varphi  + D2 \varphi  - D2\pi + \frac{\pi}{2} \right)$
R4/R12	$d \left( -\frac{\varphi^2}{\pi} + \varphi + D2\pi - D^2 2\pi - \frac{\pi}{2} \right)$	$d \left( \frac{ \varphi ^2}{\pi} -  \varphi  - D2\pi + D^2 2\pi + \frac{\pi}{2} \right)$
R5/R13	$d \left( -\frac{\varphi^2}{2\pi} + 2\varphi - D2\varphi \right)$	$d \left( \frac{ \varphi ^2}{2\pi} - 2 \varphi  + D2 \varphi  \right)$
R6/R14	$d \left( -\frac{\varphi^2}{\pi} + \varphi + D2\pi - D^2 2\pi - \frac{\pi}{2} \right)$	$d \left( \frac{ \varphi ^2}{\pi} -  \varphi  - D2\pi + D^2 2\pi + \frac{\pi}{2} \right)$
R7/R15	$d \left( \frac{-\varphi^2}{2\pi} - \varphi + D2\varphi - D2\pi + \frac{3\pi}{2} \right)$	$d \left( \frac{ \varphi ^2}{2\pi} +  \varphi  - D2 \varphi  + D2\pi - \frac{3\pi}{2} \right)$
R8/R16	$d \left( D^2 2\pi - D4\pi + 2\pi \right)$	$-d \left( D^2 2\pi - D4\pi + 2\pi \right)$

## Soft switching analysis

The analysis of soft switching conditions for all regions leads to the curve in Fig. 7 (b) considering  $D=0.5$  and  $d=1$  (relationship between  $V_{cd}$  and  $V_{ab}$  reflected secondary). It can be noticed that the converter operates with soft switching characteristic for all switches. Any variation around the aforementioned conditions narrows the soft switching regions.

## Experimental Results

The prototype was developed for a nominal power of 2 kW, utilizing the port 1 to voltage 48 V and port 3 is a 311 V voltage. Fig. 8 presented a photograph of the implemented prototype. The Table II shown prototype specifications

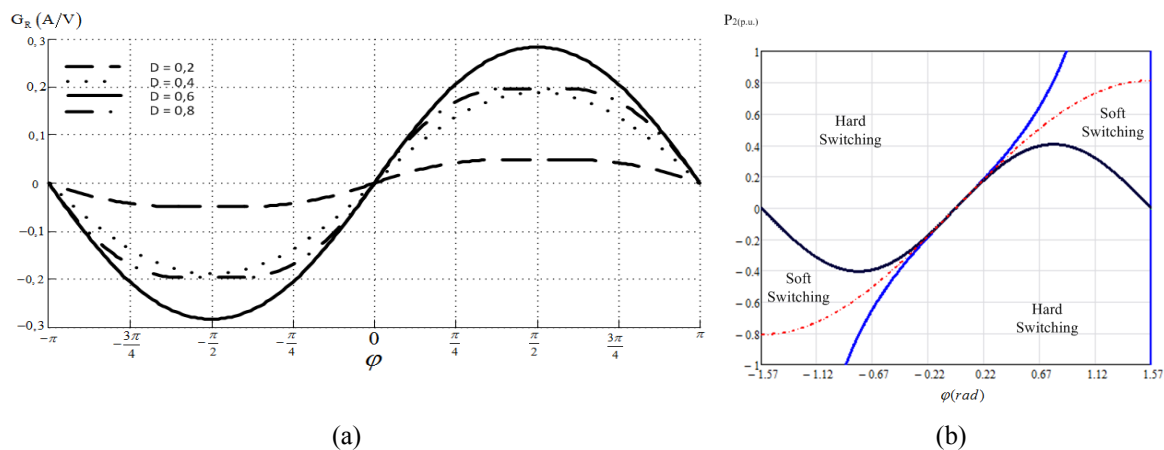


Fig. 7: DAB-3SSC topology: (a) Static gain in function of  $\varphi$  and  $D$  as parameter; (b) Soft switching regions.

Table II. Prototype specifications.

Switching frequency ( $f_s$ )	50 kHz
Port 1 voltage ( $V_1$ )	48 V
Port 3 voltage ( $V_2$ )	311 V
Load power ( $P_2$ )	2 kW
Input inductance ( $L_1$ )	42 $\mu$ H
Leakage inductance ( $L_2$ )	65 $\mu$ H
Capacitor ( $C_1$ )	203 $\mu$ F
Output capacitor ( $C_2$ )	230 $\mu$ F
Transformer relationship (a)	1:3,2

The experimental results are divided into: Mode Charge Battery Bank (MCBB) and Mode Discharge Battery Bank (MDBB).

### Mode Discharge Battery Bank (MDBB)

The experimental results are presented in Fig. 9 to MDBB. The input inductor current  $i_{L1}$ , the output current without filtering, primary currents  $i_{p1}$  and  $i_{p2}$ , voltages  $V_{ab}$  and  $V_{cd}$  (where phase-shift can be clearly noticed), and also the current through inductor  $L_2$  are the represented waveforms.

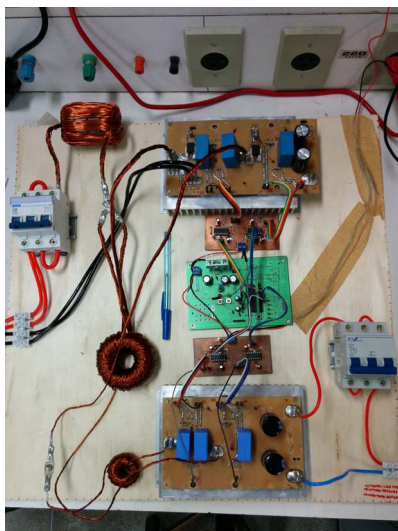


Fig. 8: Photography experimental prototype.

The Fig. 9 (a) and 9 (b) shows the soft switching in the switches  $S_1$  and  $S_5$ . The efficiency curve is shown in Fig. 11 (a). The maximum yield is obtained at the value  $P_2$  at approximately 680 W in MDBB.



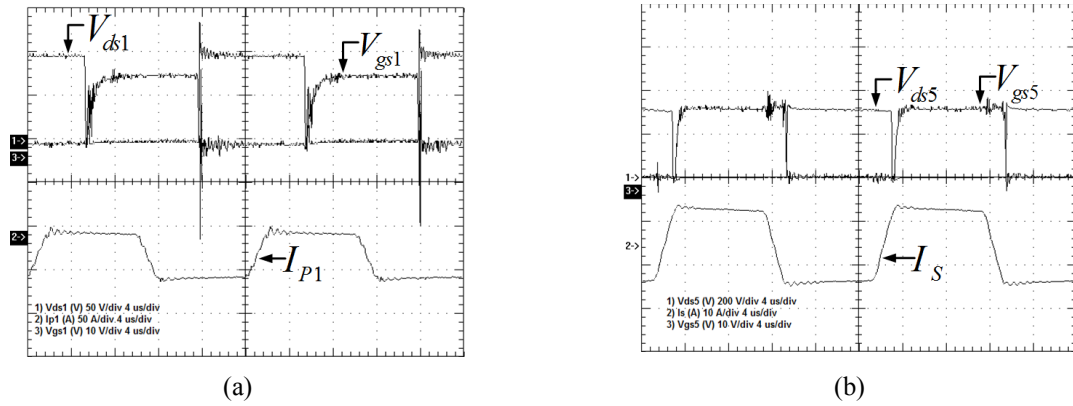


Fig. 9: Experimental results for  $D=0.51$  and  $\phi=\pi/6$  in MDBB: (a) Drain source and gate source voltage in  $S_1$  and primary 1 current; (b) Drain source and gate source voltage in  $S_5$  and secondary current.

### Mode Discharge Battery Bank (MCBB)

The experimental results are presented in Fig. 10 to MCBB. The Fig. 10 (a) and 10 (b) shows the soft switching in the switches  $S_1$  and  $S_5$ . The efficiency curve is shown in Fig. 11 (b). The maximum yield is obtained at the value  $P_1$  at approximately 900 W in MCBB.

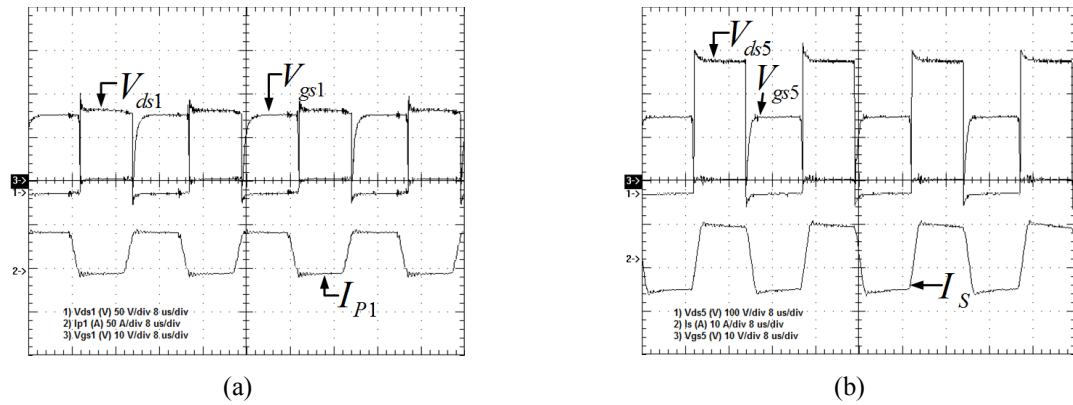


Fig. 10: Experimental results for  $D=0.51$  and  $\phi=\pi/6$  in MCBB: (a) Drain source and gate source voltage in  $S_1$  and primary 1 current; (b) Drain source and gate source voltage in  $S_5$  and secondary current.

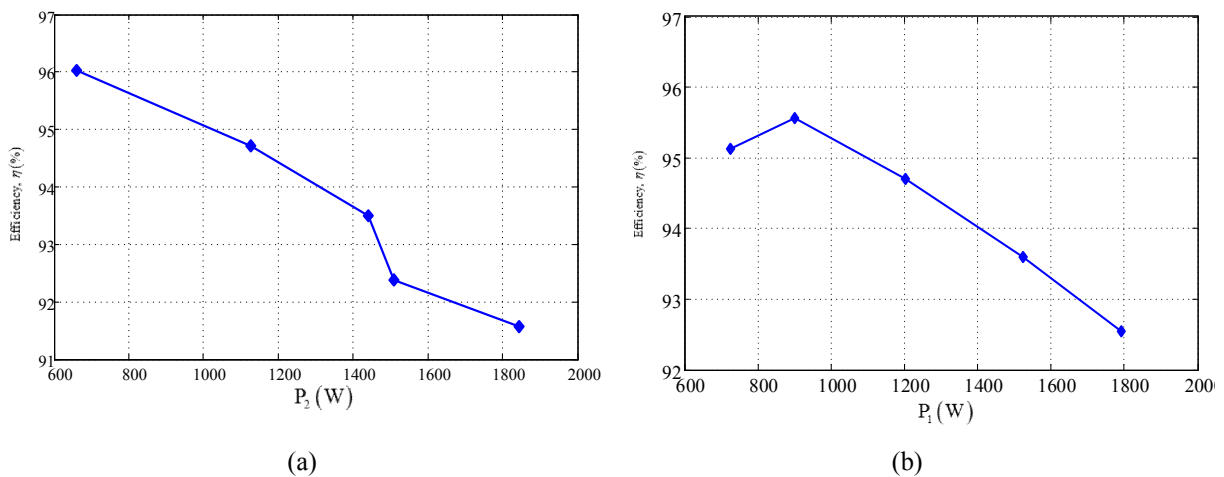


Fig. 11: DAB-3SSC experimental results: (a) Efficiency curve in MDBB; (b) Efficiency curve in MCBB.

## Conclusion

This paper has proposed a bidirectional converter based on the DAB-3SSC. The main advantage of the topology is the high frequency isolation and ZVS operation for all switches over a wide load range, what implies high efficiency. Also, bidirectional power flow, and three-port characteristic are remarkable advantages of the proposed converter. Although this study focuses on photovoltaic systems with battery banks, it presents a promising converter for applications in other approaches.

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