

# Biomarkers in the assessment of oral mucositis in head and neck cancer patients: a systematic review and meta-analysis

Ana Gabriela Costa Normando<sup>1</sup> · Camila Lopes Rocha<sup>2</sup> · Isabela Porto de Toledo<sup>1,3</sup> · Paulo Tadeu de Souza Figueiredo<sup>1</sup> · Paula Elaine Diniz dos Reis<sup>1</sup> · Graziela De Luca Canto<sup>3,4</sup> · Eliete Neves Silva Guerra<sup>1</sup>

Received: 23 December 2016 / Accepted: 7 June 2017 / Published online: 16 June 2017  
© Springer-Verlag GmbH Germany 2017

## Abstract

**Purpose** The aim of this study was to evaluate the capability of biomarkers to predict the risk of oral mucositis in head and neck cancer patients, as well as to assess the correlation between these biomarkers and the severity of mucositis.

**Methods** The search was performed at LILACS, PubMed, Science Direct, Scopus, and Web of Science. A search of the gray literature was performed on Google Scholar, OpenGrey, and ProQuest. The methodological quality of the included studies was assessed using the *Meta-Analysis of Statistics Assessment and Review Instrument* (MAStARI) tool, and the evidence quality was assessed by the *Grading of Recommendation, Assessment, Development, and Evaluation* (GRADE) system.

**Results** After a two-step selection process, 26 studies met the eligibility criteria. In total, 27 biomarkers were evaluated, and the most frequent were the epidermal growth factor (EGF), C-reactive protein (CRP), genetic polymorphisms, tumor necrosis factor alpha (TNF- $\alpha$ ), and erythrocyte sedimentation rate (ESR). The meta-analysis showed an expression of

polymorphisms in XRCC1 (32.66%), XRCC3 (31.00%), and RAD51 (39.16%) genes, as well as an expression of protein biomarkers (39.57%), in patients with an increased risk of developing oral mucositis.

**Conclusions** Dosing biomarkers before starting radiation therapy may be a promising method to predict the risk of developing mucositis and allow radiosensitive patients to have a customized treatment. Although there is currently limited evidence to confirm the putative implementation of serum and salivary biomarkers to assess the correlation between them and the severity of mucositis, this current review provides new research directions.

**Keywords** Biological markers · Oral mucositis · Head and neck cancer · Radiotherapy · Systematic review · Meta-analysis

## Introduction

Lip, oral cavity, and pharyngeal cancers are head and neck cancer (HNC) subsite that have been estimated to be responsible for 529,500 incident cases and 292,300 deaths in 2012, accounting for about 3.8% of all cancer cases and 3.6% of cancer deaths [1]. The main objective of the treatment for HNC, which is performed by surgery, radiation therapy (RT), and chemoradiotherapy (CRT), is to maximize the probability of cure, while minimizes the risks of toxicity that compromise patient's function and quality of life [2]. Many complications can arise from the nonsurgical therapy of cancer, and the most common are mucositis, dermatitis, taste alteration, xerostomia, dysphagia, anorexia, and fatigue [2, 3]. Oral mucositis (OM) is an inflammatory response of the oropharyngeal mucosa due to cancer therapy, and it is considered

---

**Electronic supplementary material** The online version of this article (doi:10.1007/s00520-017-3783-8) contains supplementary material, which is available to authorized users.

---

✉ Eliete Neves Silva Guerra  
elieteneves@unb.br

<sup>1</sup> Health Sciences Faculty, University of Brasília, Brasília, DF, Brazil

<sup>2</sup> Faculty of Dentistry, Federal University of Ceará, Fortaleza, Brazil

<sup>3</sup> Brazilian Centre for Evidence-Based Research, Department of Dentistry, Federal University of Santa Catarina, Florianópolis, Brazil

<sup>4</sup> School of Dentistry, Faculty of Medicine and Dentistry, University of Alberta, Edmonton, Canada

one of the most significant of all side effects in the head and neck region. It is usually a limiting factor for the intensification of therapy, and it may lead to a break in the treatment, compromising its efficacy [2–6]. OM is characterized by erythema, ulceration, swelling, and pain. It has different levels of severity that vary between patients and can be more severe when there is an association of chemotherapy (CT) and RT [5, 7, 8]. OM is a painful condition that affects patient's quality of life because it impairs the ability to eat, to swallow, and to talk, which increases the costs because it requires hospitalizations due to necessity of pain control, use of parenteral nutrition, and infection management [4, 6, 9]. Mucositis grade may vary according to the dose of treatment, size of irradiated area, and fractioning planning and seems to be regulated by many molecules, for example epidermal growth factor (EGF) and tumor necrosis alpha (TNF- $\alpha$ ), supporting that mucositis is not just an epithelial process [5, 10].

Heterogeneity in the response to RT in normal tissues is observed among patients who are treated with identical doses of radiation. Comprehending the molecular details of the pathogenesis of OM allows the early identification of patients prone to develop severe OM, as well as facilitate the monitoring and characterization of this adverse effect [11]. Clinical studies have been made in order to obtain a biomarker capable to predict the risk of the patient, so that protocols of individual treatments can be planned [3, 11]. A biomarker is any structure, substance secreted by the tumor, metabolic pathway, or process that can be employed for diagnosis, prognosis, and prediction of pathogenic processes or pharmacological responses to a therapeutic intervention, which can be measured accurately and reproducibly [12]. Proteins are the main biomarkers, and they can be detected in fluids, like blood and saliva, or body tissues [13]. In this way, knowing that a patient has an elevated risk of developing OM will help to plan a customized intervention with curative surgery, peripheral RT, or low-dose CT, to prevent the development of the condition [9].

Thus, the purpose of this systematic review and meta-analysis was to identify biomarkers for the prediction of risk of oral mucositis in head and neck cancer patients, as well as to assess the correlation between these biomarkers and the severity of mucositis.

## Methods

This systematic review reported accordingly the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) checklist [14]. The protocol was registered at the International Prospective Register of Systematic Reviews (PROSPERO) database under registration number CRD42016037299 [15].

## Study design

A systematic review of human studies was undertaken to evaluate the capability of biomarkers to predict the risk of occurrence of OM and to assess the severity of OM in HNC patients.

## Eligibility criteria

### *Inclusion criteria*

Articles that evaluated biomarkers of patients with HNC undergoing RT or CRT to predict the risk of occurrence of OM or to assess the severity of OM related to cancer treatment were reviewed. Only patients under RT or CRT were included because according to the NCCN guidelines for treatment of newly diagnosed HNC, the standard therapy is based on the performance status of the patient and RT is always in the treatment protocol, whether as a definitive therapy, associated with systemic therapy, or as palliative treatment [16].

### *Exclusion criteria*

Studies were excluded for the following reasons: (1) studies that evaluated gastrointestinal mucosa; (2) patients with other types of cancer, different from HNC; (3) data not individualized for HNC; (4) only chemotherapy was used to cancer treatment; (5) no correlation between biomarkers and severity/risk of development of OM; (6) reviews, letters, personal opinions, book chapters, and conference abstracts; (7) association between biomarkers and OM in experimental studies (clinical trials, in vitro or in vivo animal studies); and (8) language restrictions.

## Information sources and search strategy

Studies to be considered for inclusion were identified using an individual search strategy for each of the following electronic databases: LILACS, PubMed, Science Direct, Scopus, and Web of Science (Online Resource 1). A partial gray literature search was performed using Google Scholar, OpenGrey, and ProQuest Dissertations & Theses Global. The search across the databases included all articles published up to the 25th of January 2016, and the gray literature search included all articles published up to the 1st of February 2016, with no time restriction. Duplicated references were removed by reference manager software (EndNote<sup>®</sup>, Thomson Reuters). In addition, the reference lists of selected articles were hand screened for potential relevant studies that could have been missed during the electronic database searches.

## Study selection

The study selection was completed in two phases. In phase 1, two authors (AGCN and CLR) independently reviewed titles and abstracts identified in all electronic databases and selected articles that appeared to meet the inclusion criteria. In phase 2, the same authors (AGCN and CLR) independently read the full text of all selected articles and excluded studies that did not meet the inclusion criteria (Online Resource 2). Disagreements between the two initial evaluators were solved by consensus. When they did not reach a consensus, a third reviewer (ENSG) was involved to make a final decision. Reference lists for all included articles were critically assessed by AGCN. The articles that were selected from the reference lists were read by AGCN and CLR.

## Data collection process

One author (AGCN) collected key information from each selected article. A second reviewer (CLR) cross-checked the collected information and confirmed its accuracy. Again, any disagreement between them was resolved by discussion and mutual agreement among AGCN, CLR, and ENSG. For all of the included studies, the following information was recorded: year of publication, author(s), country, sample size (cases of HNC and non-HNC controls), patient age, drug dose, type and class of biomarkers, study methods, type of study, and main conclusions.

## Risk of bias in individual studies

The risk of bias of selected studies was evaluated using the standardized critical appraisal instrument for risk of bias assessed by the Meta-Analysis of Statistics Assessment and Review Instrument (MAStARI) critical appraisal tools [17]. Risk of bias was categorized as *high* when the study reaches up to 49% score “yes,” *moderate* when the study reached 50 to 69% score “yes,” and *low* when the study reached more than 70% score “yes.” AGCN and CLR scored each item as “yes,” “no,” “unclear,” or “not applicable” and assessed independently the quality of each included study (Online Resource 3). Disagreements were resolved by a third reviewer (IPT).

## Summary measures

The primary outcome for this systematic review was the capacity of biomarkers to predict the risk of occurrence of OM in patients with HNC undergoing RT or CRT. A secondary outcome was the capability of biomarkers to assess the severity of OM in HNC patients. Any type of outcome measurement was considered in this review (categorical and continuous variables).

## Synthesis of results

Proportion meta-analysis of polymorphisms and protein expression associated to the risk of developing OM was performed using MedCalc statistical software, version 14.8.1 (MedCalc Software, Ostend, Belgium), based on values of subjects from the total sample and from cases where the polymorphisms or proteins were expressed. Heterogeneity was calculated by inconsistency indexes ( $I^2$ ), and a value greater than 50% was considered an indicator of substantial heterogeneity between studies [18]. The significance level was set at 5%.

## Risk of bias across studies

Clinical heterogeneity (by comparing variability among the participant’s characteristics and outcomes studied), methodological heterogeneity (by comparing the variability in study design and risk of bias), and statistical heterogeneity were considered.

## Confidence in cumulative evidence

The Grading of Recommendation, Assessment, Development, and Evaluation (GRADE) instrument [19, 20] assessed evidence quality and grading of recommendation strength in the 26 studies included in qualitative synthesis. This assessment was based on study design, risk of bias, inconsistency, indirectness, imprecision, and other considerations. Evidence quality was characterized as high, moderate, low, or very low [19, 20]. The GRADE was assessed using the website <http://grade.pro.org>.

## Results

### Study selection

In phase 1 of the study selection, 1028 citations were identified across the five electronic databases. After the duplicate articles were removed, 893 citations remained. Comprehensive evaluation of the titles and abstracts was completed, and 857 articles were excluded, so 36 articles were selected after phase 1. The search with Google Scholar yielded 296 references, of which only one was included for full-text analysis and included in data collection. Six additional articles were identified from the reference lists of the identified studies, but only two of them were included in the analysis. Twenty articles were identified using ProQuest and four articles were identified using OpenGrey, but none of these studies were included.

A full-text review was conducted on the 43 articles retrieved from phase 1 of the selection. This process led to the

exclusion of 17 studies [21–37]. At the end, 26 articles were selected for descriptive analysis [3, 11, 38–61]. A flow chart detailing the process of identification, inclusion, and exclusion of studies is shown in Fig. 1.

### Study characteristics

The studies were conducted in 16 different countries: Belgium [60], Brazil [48], Canada [46, 55], China [52], Finland [53, 58], Germany [11, 47, 49, 50], Greece [61], India [3, 38, 41], Iran [57], Israel [54], Italy [56], Korea [51], Sweden [44], Taiwan [39, 40], UK [59], and USA [42, 43, 45]. All 26 studies were published between 1994 and 2015, three of them were conducted before the 2000s, and the other 23 studies were published after 2000. One study [37] was excluded because it was written in Chinese, and the authors could not analyze it. Thus, all of the included studies were published in English.

The total sample from the 26 selected studies included 1007 individuals affected by HNC. Sample size ranged from 10 [45] to 183 [3] HNC patients. All of the included studies evaluated patients undergoing RT, but some studies appraised patients who underwent both RT and CRT.

Different biological factors were detected in different samples such as saliva, blood, and tissues. Sixteen studies (62%) evaluated serum biomarkers, seven studies (27%) appraised salivary biomarkers, and the three remaining studies (11%) evaluated the biomarkers on biopsy specimens and cytological smears.

A summary of the descriptive characteristics for the included studies that assessed serum, salivary, and tissue biomarkers is presented in Tables 1, 2, and 3, respectively.

### Risk of bias within studies

The summary of risk of bias assessment of the 26 included studies is presented in Table 4. Seven studies were graded as a moderate risk of bias, while the other 19 were considered as a low risk of bias. In item 2 of the MASTARI methodological quality criteria, the patients were only considered to be at a similar point in the course of their condition if all of them were exposed to the same treatment (only RT or only CRT). Item 3 (Has bias been minimized in relation to selection of cases and of controls?) was applicable only for the studies with case and control groups included in the present review [41, 43, 48, 59]. Item 5 (Are the outcomes assessed using objective criteria?) was entirely scored as “yes” because in all studies, the measurement tools used were validated instruments. The majority of the studies had a follow-up over a sufficient time period, measured the outcomes in a reliable way, and used appropriate statistical analysis. On the other hand, most studies did not identify confounding factors nor described the outcomes of people who withdrew.

### Results of individual studies

Despite heterogeneity among the types of biomarkers evaluated, many studies concluded that the biomarkers tested had the capability to correlate with the severity of OM in HNC patients [11, 38–42, 44–46, 48–51, 54, 55, 61] or predict the risk of occurrence of OM [3, 11, 46, 56, 60]. Although many studies had as main purpose finding an association between biomarkers and the appearance of mucositis, the conclusions of the studies also indicated a possible correlation before treatment. In this way, a patient who has, prior to radiotherapy, a high level of a certain biomarker that has already been known to be overexpressed in patients with severe OM is more likely to develop mucositis during the treatment.

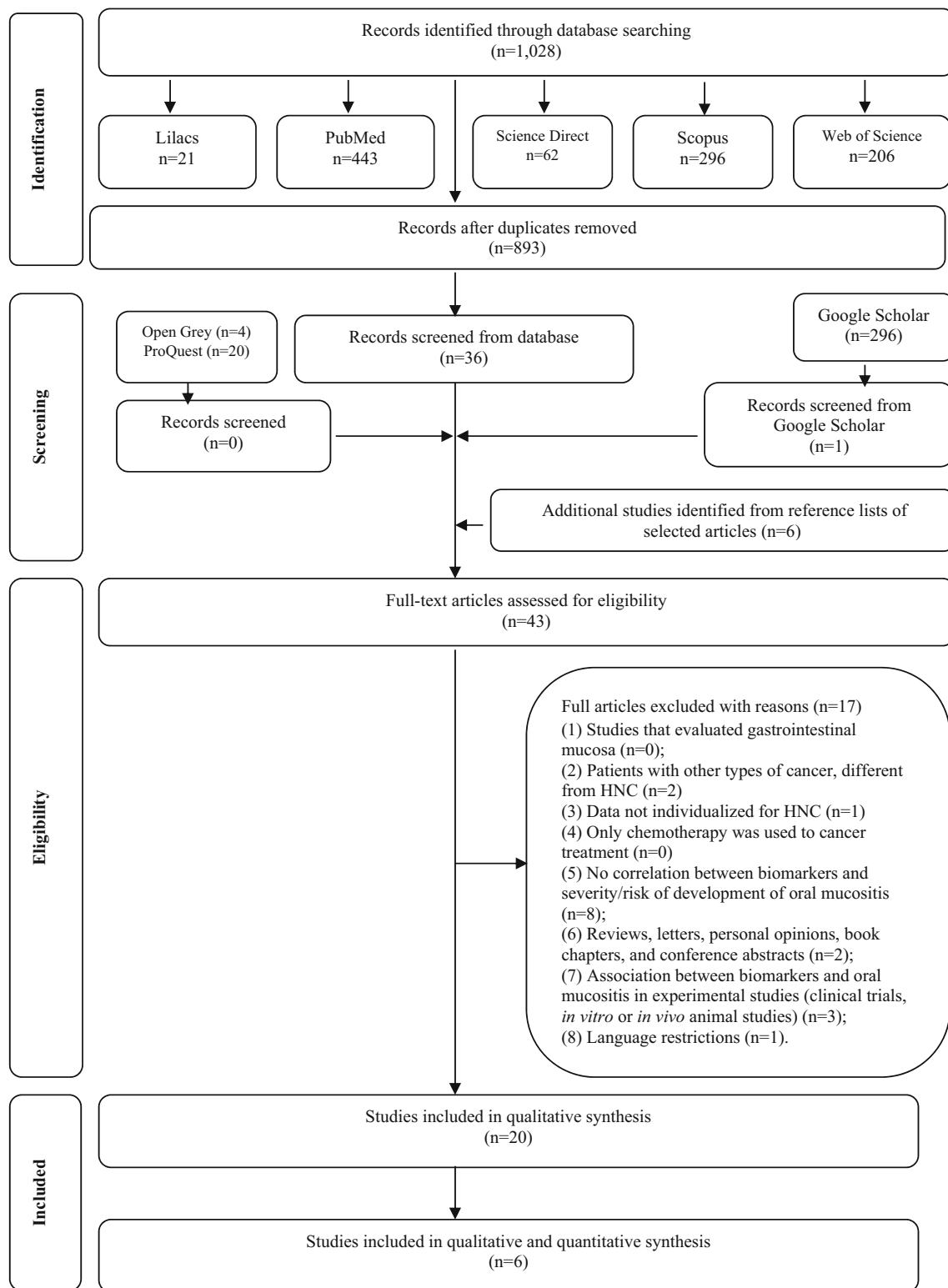
### Synthesis of results

In total, 27 biomarkers were assessed in the included studies (Fig. 2). To easily interpret the results, the biomarkers were grouped in eight different groups: growth factors, acute-phase inflammatory markers, genetic factors, cytokines, general proteins, plasma antioxidants, apoptotic proteins, and cells (Fig. 3). The most frequent types of biomarkers were growth factors, other inflammatory markers, and genetic factors (Online Resource 4).

Among the growth factors, stood out the EGF and transforming growth factor beta 1 (TGF- $\beta$ 1) that were appraised in seven of the 26 studies. It was observed that there was a trend of reduced EGF levels in patients with severe OM, corroborating the hypothesis that patients with lower levels of EGF prior to therapy may be at increased risk of mucosal damage during RT [43, 45, 46], although Citrin et al. [42] could not find a variation in the concentration of EGF ( $p = 0.0001$ ). Differently, the TGF- $\beta$ 1 levels seemed to be elevated if the radiation toxicity was severe [39, 40], while Lundberg et al. [53] could not find a significant correlation between the severity of mucositis and the TGF- $\beta$ 1 genotype ( $p = 0.25$ ).

The most frequent acute-phase inflammatory markers analyzed were C-reactive protein (CRP) and erythrocyte sedimentation rate (ESR), and three studies [41, 51, 55] evaluated both markers, since the two are related to acute phase response. The studies demonstrated a significant increase in CRP and ESR levels towards the end of RT and found a correlation between those high levels and fraction number and grade of mucositis. Only Ki et al. [51] could not find any relationship between ESR levels and the fraction number or the grade of mucositis ( $p = 0.58$ ).

The other biomarker that was very frequent among the studies was the genetic polymorphisms, which were analyzed in three different studies [3, 56, 60]. Higher chances of developing acute toxicities like OM were reported to be associated to polymorphisms in the XRCC1 ( $p = 0.011$ ), XRCC3 ( $p = 0.178$ ), and RAD51 ( $p = 0.728$ ) genes.



**Fig. 1** Flow diagram of literature search and selection criteria adapted from PRISMA [15]

The cytokines evaluated in the included studies were IL-1, IL-1 $\beta$ , IL-4, IL-6, IL-8, IL-10, and TNF- $\alpha$ . The levels of IL-6, IL-8, IL-10, and IL-1 $\beta$  increased according to radiation dose, and only IL-8 did not seem to be related

to severe OM [42, 54, 57, 61]. TNF- $\alpha$  levels seemed to be increased in some studies [42, 61] but decreased in two other studies [54, 57], indicating a contradiction in the results.

**Table 1** Summary of descriptive characteristics of studies that analyzed serum biomarkers ( $n = 16$ )

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( $p$ value)
CRT	Chen et al. [39], Taiwan	18 NPC	<sup>a</sup>	ND	Total dose range = 60–70 Gy + cisplatin OR cisplatin +5-fluorouracil	TGF- $\beta$ 1	Protein	ELISA	Cohort	The levels of TGF- $\beta$ 1 were significantly increased when the radiation toxicity was severe ( $p = 0.0057$ ). The damaged tissues other than the tumor itself also contribute to the higher plasma TGF- $\beta$ 1 level. TGF- $\beta$ 1 may be useful as a biomarker for the follow-up of NPC treatment
	Chen et al. [40], Taiwan	14 NPC 25 HNS-CC	<sup>a</sup>	Mean = 43.2 Range = 28–56	Total dose = 55–70 Gy + cisplatin	TGF- $\beta$ 1	Protein	ELISA	Cohort	The plasma TGF- $\beta$ 1 level was elevated when the radiation toxicity was severe ( $p < 0.05$ ), suggesting that acute mucositis caused by RT of the head and neck region and concurrent chemotherapy was related to higher plasma TGF- $\beta$ 1 level
	Chethana et al. [41], India	30 HNS-CC	30 healthy sub-jects	ND	ND	CRP and ESR	Proteins	ND	Cohort	The CRP levels significantly increased towards the end of RT ( $p < 0.001$ ). There was a correlation between CRP and mucositis grade only in the first weeks of treatment. The ESR levels showed a significant increase until the 14th day of treatment, followed by a decrease towards the end of RT ( $p < 0.001$ ). This variation was related to the grading of mucositis
	Fleckenstein et al. [47], Germany	15 HNS-CC	<sup>a</sup>	Mean = 58 Range = 44–71	Total dose range = 60–66 Gy + cisplatin and 5-fluorouracil	DNA DSB ( $\gamma$ -H2AX)	Protein	Fluorescence microscopy	Cohort	Patients who developed grade $\geq 2$ mucositis had a total amount of DSB repair similar to patients with grade $\geq 3$ ( $p = 0.33$ ). It was found that there was an increased risk for patients to develop grade $\geq 3$ mucositis when the rate of unrepaired DSBs after 24 h was higher than 1 standard deviation of the mean. However, a significant correlation between DSB repair and oral mucositis grades was not found
	Li et al. [52], China	18 HNS-CC	<sup>a</sup>	Grades 1–2 Mean = 51.1 Range = 28–80 Grades 3–4 Mean = 46.2 Range = 32–67	Mean total dose = 66.2 $\pm$ 8.1 Gy + chemotherapy	DNA DSB ( $\gamma$ -H2AX)	Protein	Flow cytometry	Cohort	Higher $\gamma$ -H2AX levels were observed at later time points of RT ( $p < 0.05$ ), but this increase was not statistically different between patients with mild OM and severe OM ( $p < 0.05$ ), although patients with severe OM had a reduced capacity for DNA repair
	Lundberg et al. [53], Finland	34 HNS-CC	<sup>a</sup>	Mean = 56	Mean total dose = 69 Gy (range = 66–72 Gy) + cisplatin	TGF- $\beta$ 1 genotype	Gene	TaqMan chemistry	Cohort	There was no significant correlation between the severity of mucositis and the TGF $\beta$ 1 variant genotype. The SNP rs1982073 of the

**Table 1** (continued)

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( <i>p</i> value)
	Meirovitz et al. [54], Israel	15	<sup>a</sup> HNS-CC	Mean = 51.8 Range = 18–75	Total dose range = 60–72 Gy + cisplatin/5-FU or carboplatin/5-FU or docetaxel/5-FU/cisplatin	IL-1, IL-6, IL-8, TNF- $\alpha$ , and IL-10	Cytokines	ELISA	Cohort	TGF- $\beta$ 1 is associated with survival of HNC patients after CRT but does not seem to be associated with a risk of CRT-induced mucositis ( <i>p</i> = 0.25) The levels of cytokines measured showed increased IL-6 and IL-8 and decreased TNF- $\alpha$ . IL-1 and IL-10 did not show any significant changes. A correlation between high levels of IL-6 and severe mucositis was found ( <i>p</i> = 0.081), but there was no relationship between IL-1, TNF- $\alpha$ , IL-8, or IL-10 level and mucositis grade
	Mohammed et al. [55], Canada	37	<sup>a</sup> HNS-CC	ND	Total dose range = 50–70 Gy + cisplatin	CRP and ESR	Proteins	CRP: rate nephelometry immunoassay ESR: modified Westergren method	Cohort	The ESR rise reached statistical significance at the third week of treatment, coinciding with the beginning of clinical symptoms/mucosal changes. CRP levels were significantly elevated at weeks 6 ( <i>p</i> = .0002) to 8 ( <i>p</i> = .03)
	Pratesi et al. [56], Italy	56	<sup>a</sup> HNS-CC	ND	Mean total dose = 62 Gy (range = 54–70 Gy) + platinum, 5-fluorouracil, taxanes, and/or cetuximab	Genetic polymor- phisms	DNA DSB repair genes	HRMA	Cohort	XRCC1-399Gln allele was significantly associated with a higher risk of mucositis ( <i>p</i> = 0.011). Patients with at least 1 SNP or with both the SNPs in XRCC1 c.1196A>G or RAD 51 c.-3429G>C have a higher chance to develop acute toxicities ( <i>p</i> = 0.001)
	Seyyednejad et al. [57], Iran	30	<sup>a</sup> HNS-CC	ND	Total dose range = 60–72 Gy + chemotherapy	IL-1 and TNF- $\alpha$	Cytokines	ELISA	Cohort	The levels of TNF- $\alpha$ decreased during therapy, especially after the third week of therapy, while IL-1 did not show any significant changes. There was no relationship between IL-1 and TNF- $\alpha$ levels and mucositis grade
	Venkatesh et al. [3], India	148	<sup>a</sup> HNS-CC	Mean = 54.74 Range = 26–80	Total dose range = 60–70 Gy (median = 66 Gy) + platinum-based chemoradiotherapy	Genetic polymor- phisms	DNA DSB repair genes	PCR	Cohort	Patients with a recessive allele of NBN had 4.72 times higher chances to develop severe mucositis (grade >2) ( <i>p</i> = 0.013). Heterozygous variants in CAT displayed 0.452 times lesser prone to experience severe oral mucositis ( <i>p</i> = 0.048). SNPs in XRCC1 (rs3213245, rs1799782, rs25489, and rs25487) were linked with severe oral mucositis
	Werbrouck et al. [60],		<sup>a</sup>	Mean = 60.3 Range = 40–86				PCR	Cohort	An association was found between the presence of variant alleles of the XRCC3c.562-14

Table 1 (continued)

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( <i>p</i> value)
	Belgium	35			Total dose range = 66–70 Gy + cisplatin	Genetic polymorphisms	DNA DSB repair genes			A>G ( <i>p</i> = 0.178) and Rad51c.-3392 ( <i>p</i> = 0.728) polymorphisms and the risk of severe mucositis. A negative association was found between Ku70c.-1310 SNP and the development of severe mucositis ( <i>p</i> = 0.153), pointing to a protective effect of this polymorphism
RT	Bhattathini et al. [38], India	13	<sup>a</sup> HNS-CC	ND	Total dose = 60 Gy (25 fractions)	GSH	Protein	Beutler's method	Cohort	It was demonstrated that there was a association between plasma GSH level and acute oral mucositis. Patients with lower levels of plasma GSH had more severe radiation reaction (grade 5), what demonstrates the radioprotective role of GSH
	Ehrsson et al. [44], Sweden	27	<sup>a</sup> HNS-CC	Mean = 56 Range = 42–77	Total dose range = 50–68 Gy	hs-CRP, albumin, IGF-1, IGFBP-1, and ghrelin	Proteins	RIA technique	Cohort	There was a significant increase in hs-CRP possibly related to the inflammation of mucosa. A decrease in serum albumin was noted during RT. Small insignificant differences were observed in IGF-1, IGFBP-1, and ghrelin levels
	Fleckenstein et al. [47], Germany	16	<sup>a</sup> HNS-CC	Mean = 58 Range = 44–71	Total dose range = 60–66 Gy	DNA DSB (γ-H2AX)	Protein	Fluorescence microscopy	Cohort	Patients who developed grade ≥2 mucositis had a total amount of DSB repair similar to patients with grade ≥3 ( <i>p</i> = 0.33). It was found that there was an increased risk for patients to develop grade ≥3 mucositis when the rate of unrepaired DSBs after 24 h was higher than 1 standard deviation of the mean. However, a significant correlation between DSB repair and oral mucositis grades was not found
	Ki et al. [51], Korea	40	<sup>a</sup> HNS-CC	Mean = 61 Range = 47–69	Total dose range = 56–74.2 Gy	CRP and ESR	Proteins	ECLIA	Cohort	Mean CRP levels increased significantly according to the fraction number and the grade of mucositis ( <i>p</i> < 0.001). There was no statistically significant relationship between ESR and the fraction number of RT or grade of acute mucositis ( <i>p</i> = 0.58)
	Li et al. [52], China	7	<sup>a</sup> HNS-CC	Grades 1–2 Mean = 51.1 Range = 28–80 Grades 3–4 Mean = 46.2 Range = 32–67	Mean total dose = 66.2 ± 8.1 Gy	DNA DSB (γ-H2AX)	Protein	Flow cytometry	Cohort	Higher γ-H2AX levels were observed at later time points of RT ( <i>p</i> < 0.05), but this increase was not statistically different between patients with mild OM and severe OM ( <i>p</i> < 0.05), although patients with severe OM had a reduced capacity for DNA repair



**Table 1** (continued)

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( <i>p</i> value)
	Mohammed et al. [55], Canada	25 HNS-CC	<sup>a</sup>	ND	Total dose range = 50–70 Gy	CRP and ESR	Proteins	CRP: rate nephelometry immunoassay ESR: modified Westergren method	Cohort	The ESR rise reached statistical significance at the third week of treatment, coinciding with the beginning of clinical symptoms/mucosal changes. CRP levels were significantly elevated at weeks 6 ( <i>p</i> = .0002) to 8 ( <i>p</i> = .03)
	Pratesi et al. [56], Italy	45 HNS-CC	<sup>a</sup>	ND	Mean total dose = 62 Gy (range 54–70 Gy)	Genetic polymorphisms	DNA DSB repair genes	HRMA	Cohort	XRCC1-399Gln allele was significantly associated with a higher risk of mucositis ( <i>p</i> = 0.011). Patients with at least 1 SNP or with both the SNPs in XRCC1 c.1196A>G or RAD 51 c.-3429G>C have a higher chance to develop acute toxicities ( <i>p</i> = 0.001)
	Venkatesh et al. [3], India	35 HNS-CC	<sup>a</sup>	Mean = 54.74 Range = 26–80	Total dose range = 60–70 Gy (median = 66 Gy)	Genetic polymorphisms	DNA DSB repair genes	PCR	Cohort	Patients with a recessive allele of NBN had 4.72 times higher chances to develop severe mucositis (grade >2) ( <i>p</i> = 0.013). Heterozygous variants in CAT displayed 0.452 times lesser prone to experience severe oral mucositis ( <i>p</i> = 0.048). SNPs in XRCC1 (rs3213245, rs1799782, rs25489, and rs25487) were linked with severe oral mucositis
	Wardman et al. [59], UK	18 HNS-CC	10 healthy volunteers	ND	Total dose = 54 Gy in 36 fractions over 12 days	GSH, cysteine, uric acid, and ascorbate	Plasma antioxidants	HPCL	Cohort	There was no correlation between mucositis severity and measures of plasma antioxidants: cysteine (7.6 + 1.7 μM), uric acid (317 + 86 μM), ascorbate (29 + 20 μM), or whole blood GSH concentrations (1010 + 239 μM)
	Werbrouck et al. [60], Belgium	53 HNS-CC	<sup>a</sup>	Mean = 60.3 Range = 40–86	Total dose range = 66–70 Gy	Genetic polymorphisms	DNA DSB repair genes	PCR	Cohort	An association was found between the presence of variant alleles of the XRCC3c.562-14 A>G ( <i>p</i> = 0.178) and Rad51c-3392 ( <i>p</i> = 0.728) polymorphisms and the risk of severe mucositis. A negative association was found between Ku70c.-1310 SNP and the development of severe mucositis ( <i>p</i> = 0.153), pointing to a protective effect of this polymorphism

K = case, C = control

ND not determined, HNC head and neck carcinoma, RT radiotherapy, CRT chemoradiotherapy. Methods: ABC avidin–biotin complex, ECLIA electrochemiluminescence immunoassay, ELISA enzyme-linked immunosorbent assay, HPCL high-pressure liquid chromatography, HRMA high-resolution melting analysis, IFMA immunofluorometric assay, nano LC-MS/MS liquid chromatography–mass spectrometry, OPS orthogonal polarization spectral, PCR polymerase chain reaction, RIA radioimmunoassay. Biomarkers: *Bcl-2* B cell lymphoma 2, *BPIFA* bactericidal or permeability-increasing protein

family A, CAT catalase, CRP C-reactive protein, DNA DSB DNA double-strand break, EGF epidermal growth factor, ESR erythrocyte sedimentation rate, GSH glutathione, GST glutathione S-transferase, GSTP1 glutathione S-transferase p1, ICAM-1 soluble intercellular adhesion molecule 1, IGF-1 insulin-like growth factor 1, IGFBP-1 insulin-like growth factor binding protein 1, IL interleukin, LIG4 ligase IV, LFA-1 lymphocyte function-associated antigen 1, Mac-1 macrophage 1, MCP-1 monocyte chemoattractant protein 1, MMP matrix metalloproteinase, NBN nibrin, OGG1/8-oxoguanine DNA glycosylase gene, SOD2 superoxide dismutase 2, TNF- $\alpha$  tumor necrosis factor alpha, TGF- $\beta$ 1 transforming growth factor beta 1, TP total protein, VCAM vascular cell adhesion molecule, VEGF vascular endothelial growth factor, VLA-4 very late antigen 4, WBC white blood cells, XRCC x-ray repair cross-complementing protein

<sup>a</sup> Control subjects are the same as case patients

Six studies had enough data to be included in the quantitative synthesis, i.e., the number of patients who developed OM and expressed the biomarker was provided, and were suitable for grouping for meta-analysis. The high heterogeneity between the studies was found in all meta-analyses. For polymorphisms in XRCC1 (rs25487), an inconsistency ( $I^2$ ) of 90.93% [confidence interval (CI) 83.02–95.16] was found; for the polymorphisms in XRCC3 (rs861539),  $I^2$  was 96.72% (CI 94.78–97.93); for the polymorphisms in RAD51 (rs1801321),  $I^2$  was 95.22% (CI 93.07–96.70); and for the expression of protein biomarkers, an inconsistency ( $I^2$ ) of 53.19% (CI 4.21–77.12) was found. Accordingly, the random model was chosen. Results from the meta-analysis showed a frequency (prevalence) from the overall expression of XRCC1 polymorphism of 32.66% (CI 21.52–44.90,  $p < 0.0001$ ,  $n = 663$ ), from the expression of XRCC3 polymorphism of 31.00% (CI 13.84–51.44,  $p < 0.0001$ ,  $n = 663$ ), from the expression of RAD51 polymorphism of 39.16% (CI 26.66–52.44,  $p < 0.0001$ ,  $n = 1116$ ), and from the overall expression of protein biomarkers of 39.57% (CI 28.03–51.73,  $p = 0.0233$ ,  $n = 146$ ) (Fig. 4a–d). Supplementary data from all meta-analyses can be found in Online Resource 5.

### Risk of bias across studies

The included studies used similar methodology, which reduced the possibility of misinterpretation. All studies selected were considered to be relatively homogeneous, since all of them were observational studies. Besides this particular issue, in the meta-analysis, high heterogeneity was found in the selected studies possible due to the sample size that varied widely among the studies.

### Quality of evidence

Overall, the quality of the evidence from the outcomes evaluated by the GRADE system was assessed as moderate, suggesting a moderate confidence in the estimated effect, but there is a possibility that it is substantially different (Online Resource 6).

## Discussion

### Summary of evidence

The possibility of measuring the risk of developing OM in HNC patients that underwent RT may improve the management of such condition and may allow patients' customized treatment strategies that prevent severe toxicities [42, 52]. The biomarkers can be considered promising tools for this purpose. This is the first systematic review and meta-analysis that investigated in the available literature whether biomarkers can predict the risk of developing OM in patients with HNC

**Table 2** Summary of descriptive characteristics of studies that analyzed salivary biomarkers ( $n = 7$ )

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( $p$ value)
CRT	Citrin et al. [42], USA	11 HNSCC	<sup>a</sup>	ND	Mean total dose = 66.68 Gy (range = 60–67.5) + chemotherapy	IL-4, IL-6, IL-8, IL-10, MCP-1, TNF- $\alpha$ , VEGF, and EGF	Proteins	Luminex fluorescent technique	Cohort	IL-6 ( $p = 0.015$ ), IL-8 ( $p = 0.032$ ), MCP-1 ( $p = 0.0004$ ), and TNF- $\alpha$ ( $p = 0.0003$ ) levels increased according to radiation dose, but there was no significant correlation between these cytokine levels and toxicity. Levels of IL-10 in the saliva were higher in patients with high-grade mucositis compared to those with low-grade mucositis. IL-4 ( $p = 0.006$ ) and EGF ( $p = 0.0001$ ) were elevated closer to the tumor, but there was no increase in concentration during RT
	González-Arriagada et al. [48], Brazil	22 HNSCC	20 healthy volunteers	Mean = 58.2 (K), 55.8 (C)	Total dose range = 63–78 Gy + cisplatin and 5-fluorouracil	BPIFA-1 and BPIFA-2	Proteins	Western blot	Cohort	BPIFA-1 levels increased during the treatment, and this increase was maintained 1 week after conclusion. BPIFA-1 levels were statistically significantly associated with the presence ( $p = .0363$ ) and severity ( $p = .0500$ ) of mucositis. There was no correlation between BPIFA-2 levels and mucositis
	Jehmlich et al. [11], Germany	39 HNSCC	<sup>a</sup>	Mean = 59 Range = 44–70	Total dose range = 50–70 Gy + cisplatin	Salivary proteins	Proteins	Nano LC-MS/MS	Cohort	The proteins proteinase 3 ( $p = 0.0037$ ), fibrinogen beta chain ( $p = 0.0050$ ), matrix metalloproteinases 8 and 9 ( $p = 0.0162$ ), ceruloplasmin ( $p = 0.0154$ ), and complement C3 ( $p = 0.0433$ ) were overrepresented in the saliva samples of patients with severe oral mucositis. Saliva proteins may enable identification of patients prone to develop oral mucositis during RT
RT	Dumbrigue et al. [43], USA	11 HNSCC 1 basal cell carcinoma	18 healthy subjects	Mean = 62 (K), 56 (C)	Mean total dose = 7046 cGy (range = 6400–7680)	EGF and TP	Proteins	ELISA (EGF)/Bradford's method (TP)	Cohort	EGF levels decreased over time ( $p = .004$ ), while TP levels increased ( $p = .039$ ). There was a trend of lower levels of EGF in

**Table 2** (continued)

Treatment country	Author [reference]	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( <i>p</i> value)
		1 adenocarcinoma		Range = 44–76 (K), 43–74 (C)						patients with severe mucositis ( $p = .0001$ ), but the correlation was weak and did not reach statistical significance
USA	Epstein et al. [45]	11 HNSCC 2 adenoid cystic carcinoma 2 lymphoma	<sup>a</sup>	Mean = 50.8 Range = 35–68	Mean total dose = 5307 cGy (range = 3500–6600 cGy)	EGF	Protein	ELISA	Cohort	EGF concentration decreased during RT, and there was a trend to reduced EGF in patients with more severe oral mucositis when comparing EGF to total ulceration ( $p = 0.10$ ) and total mucositis score ( $p = 0.09$ )
Canada	Epstein et al. [46]	11 HNSCC 5 salivary gland malignancies 1 lymphoma 1 inverted papilloma	<sup>a</sup>	Mean = 53.44 Range = 34–67	Mean total dose = 5667 cGy (range = 5000–6500 cGy)	EGF	Protein	ELISA	Cohort	EGF concentration decreased during RT ( $p = 0.03$ ), but the correlation between pretreatment EGF and mucositis severity was weak, although higher total levels of EGF in oral secretions were associated with less severe mucosal damage. Patients with lower levels of EGF prior to therapy may be at increased risk of mucosal damage during RT
	González-Arriagada et al. [48], Brazil	23 HNSCC	20 healthy volunteers	Mean = 58.2 (K), 55.8 (C)	Total dose range = 63–78 Gy + cisplatin and 5-fluorouracil	BPIFA-1 and BPIFA-2	Proteins	Western blot	Cohort	BPIFA-1 levels increased during the treatment, and this increase was maintained 1 week after conclusion. BPIFA-1 levels were statistically significantly associated with the presence ( $p = .0363$ ) and severity ( $p = .0500$ ) of mucositis. There was no correlation between BPIFA-2 levels and mucositis
Germany	Jehmlich et al. [11]	11 HNSCC	<sup>a</sup>	Mean = 59 Range = 44–70	Total dose range = 50–70 Gy + cisplatin	Salivary proteins	Proteins	Nano LC-MS/MS	Cohort	The proteins proteinase 3 ( $p = 0.0037$ ), fibrinogen beta chain ( $p = 0.0050$ ), matrix metalloproteinases 8 and 9 ( $p = 0.0162$ ), ceruloplasmin ( $p = 0.0154$ ), and complement C3 ( $p = 0.0433$ ) were overrepresented in the saliva samples of patients with severe oral mucositis. Saliva proteins

**Table 2** (continued)

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( <i>p</i> value)
	Vuotila et al. [58], Finland	39 HNSCC	<sup>a</sup>	Mean = 54 Range = 21–83	Total dose range = 40–66 Gy	MMP-8 and MMP-9	Proteins	IPMA/Western immunoblotting	Cohort	may enable identification of patients prone to develop oral mucositis during RT Although strong manifestations of oral lesions were present, no correlation was found between the levels of salivary MMP-8 and MMP-9 and the radiation-induced oral mucosal lesions

<sup>a</sup> Control subjects are the same as case patients

undergoing RT or CRT. Eight groups of biomarkers were analyzed: growth factors, cytokines, acute-phase inflammatory markers, genetic factors, general proteins, plasma antioxidants, apoptotic proteins, and cells.

Growth factors are proteins released by individual cells to transmit messages to other cells and to stimulate cellular growth, proliferation, and differentiation [63]. Regarding the EGF, three studies [43, 45, 46] observed a decrease in EGF levels during RT and a trend to reduced EGF in patients with more severe OM. These findings suggest that patients with lower levels of EGF prior to therapy may be at increased risk of mucosal damage during RT. Thus, analyzing EGF levels before starting the RT could be an efficient method to identify patients with a higher risk of developing oral mucositis.

Another important growth factor analyzed was the TGF- $\beta$ , which controls cellular homeostasis and proliferation, wound healing, immunosuppression, and angiogenesis [53]. It was observed that a TGF- $\beta$ 1 level was significantly higher in patients experiencing severe radiation toxicity, confirming that damaged tissues contribute to higher plasma TGF- $\beta$ 1 level [39, 40]. Furthermore, the production of TGF- $\beta$ 1 is genetically regulated and patients who have the variant allele at the single nucleotide polymorphism (SNP) in the *TGFBI* gene tend to have a higher concentration of serum TGF- $\beta$ 1 [53]. However, Lundberg et al. [53] could not find a significant correlation between the severity of mucositis and the *TGF- $\beta$ 1* variant genotype. Given the results, the TGF- $\beta$ 1 could not be considered an efficient prediction biomarker, but it may be useful as a biomarker for treatment follow-up.

Cytokines are also involved in RT-induced mucositis because they are released by disintegrating cells or by an immune reaction, resulting in the recruitment of inflammatory cells and in the development of toxicity [42, 62]. Several researchers have investigated the variation in cytokine concentration, such as IL and TNF, in HNC patients undergoing CRT [42, 54, 57, 61]. It was observed that while radiation dose increased, the levels of IL-6 and IL-8 simultaneously increased, but only IL-6 seemed to be related to severe mucositis [42, 54]. Citrin et al. [42] found high levels of IL-10 in the saliva of patients with high-grade mucositis compared to those with low-grade mucositis. In contrast, Meirovitz et al. [54] did not find any significant changes in IL-10 levels. There were no significant changes in IL-1 levels [54, 57], but there was an increase in the expression of IL-1 $\beta$ , which is a member of the interleukin-1 superfamily, and this increase was related to the radiation-induced OM [61].

The levels of TNF- $\alpha$  were also analyzed, and the results were again somewhat controversial. Two studies [42, 61] found increased levels of this cytokine during RT, while two other studies [54, 57] showed decreased levels, and only Xanthinaki et al. [61] could find an association between TNF- $\alpha$  and OM. The results found that the cytokine levels were quite heterogeneous, probably because the cytokines

**Table 3** Summary of descriptive characteristics of studies that analyzed tissue biomarkers ( $n = 3$ )

Treatment	Author [reference], country	No. of HNC patients	Controls	Age (in years)	Drug dose	Biomarkers	Class	Methods	Type of study	Main conclusion ( $p$ value)
CRT	Xanthimaki et al. [61], Greece	14	<sup>a</sup>	Mean = 56.6 Range = 19–86	Mean total dose = 62 Gy (range = 24–72 Gy) + cisplatin and 5-fluorouracil	p53 protein, BCL-2, MCL-1, TNF, and IL-1 $\beta$	Proteins	Immunocytochemical staining	Cohort	An increase in the expression of pro-inflammatory cytokines TNF and IL-1 $\beta$ , as well as in the expression of pro-apoptotic protein p53 and a decrease in the expression of anti-apoptotic proteins BCL-2 and MCL-1 were registered and related to the radiation-induced oral mucositis
RT	Handschel et al. [49], Germany	13	<sup>a</sup>	Range = 45–71	Total dose = 60 Gy	ICAM-1, VCAM-1, E-selectin, LFA-1, Mac-1, and VLA-4	Adhesion molecules	Immunohistochemistry	Cohort	An increase of ICAM-1 ( $p < 0.01$ ), E-selectin ( $p < 0.05$ ), LFA-1 ( $p < 0.01$ ), and Mac-1 ( $p < 0.01$ ) was found, while VCAM-1 and VLA-4 expression remained at very low levels. The degree of oral mucositis was paralleled by these alterations. Therapeutic interference in E-selectin or ICAM-1 function may be a new option to prevent radiation-induced mucositis
	Handschel et al. [50], Germany	13	<sup>a</sup>	Range = 45–71	Total dose = 60 Gy	Monoclonal antibodies 27E10, 25F9, and RM3/1	Macrophages subpopulations	Immunohistochemistry	Cohort	During RT, the percentage of RM3/1-positive cells increased significantly ( $p < 0.01$ ), while there were no significant changes in the percentages of 27E10 and 25F9-positive cells. There was no correlation between 27E10, 25F9, and mucositis score. However, a significant correlation between RM3/1 and mucositis grading ( $p < 0.05$ ) was found
	Xanthimaki et al. [61], Greece	21	<sup>a</sup>	Mean = 56.6 Range = 19–86	Mean total dose = 62 Gy (range 24–72 Gy)	p53 protein, BCL-2, MCL-1, TNF, and IL-1 $\beta$	Proteins	Immunocytochemical staining	Cohort	An increase in the expression of pro-inflammatory cytokines TNF and IL-1 $\beta$ , as well as in the expression of pro-apoptotic protein p53 and a decrease in the expression of anti-apoptotic proteins BCL-2 and MCL-1 were registered and related to the radiation-induced oral mucositis

<sup>a</sup> Control subjects are the same as case patients

**Table 4** Summary of the risk of bias assessment

Author	Risk of bias <sup>a</sup>
Bhattathiri et al. [38]	Moderate
Chen et al. [39]	Low
Chen et al. [40]	Low
Chethana et al. [41]	Moderate
Citrin et al. [42]	Low
Dumbrigue et al. [43]	Low
Ehrsson et al. [44]	Low
Epstein et al. [45]	Low
Epstein et al. [46]	Low
Fleckenstein et al. [47]	Low
Gonzalez et al. [48]	Low
Handschel et al. [49]	Low
Handschel et al. [50]	Low
Jehlich et al. [11]	Moderate
Ki et al. [51]	Low
Li et al. [52]	Moderate
Lundberg et al. [53]	Low
Meirovitz et al. [54]	Low
Mohammed et al. [55]	Low
Pratesi et al. [56]	Moderate
Seyyednejad et al. [57]	Low
Venkatesh et al. [3]	Low
Vuotila et al. [58]	Low
Wardman et al. [59]	Low
Werbrouck et al. [60]	Moderate
Xanthinaki et al. [61]	Moderate

<sup>a</sup> Assessed by the Meta-Analysis of Statistics Assessment and Review Instrument (MAStARI) [17] critical appraisal tools. Risk of bias was categorized as *high* when the study reached up to 49% score “yes,” *moderate* when the study reached 50 to 69% score “yes,” and *low* when the study reached more than 70% score “yes”

were analyzed in different fluids and the concentration may vary from saliva to serum. In this way, future studies with larger sample size could provide a definitive answer if cytokines can be effective in predicting an adverse response to RT [54].

Besides the predictor effect of growth factors and cytokines, there are evidences that these substances may be useful in preventing and treating OM. Palifermin, a keratinocyte growth factor (KGF), is already recommended to prevent oral mucositis in patients with hematological malignancies receiving high-dose CT and total body irradiation (TBI) [62]. A systematic review by Raber-Durlacher et al. [63] aimed to define evidence-based clinical practice guidelines for the use of cytokine and growth factor agents to prevent and treat mucositis. Sixty-seven studies were included in the review,

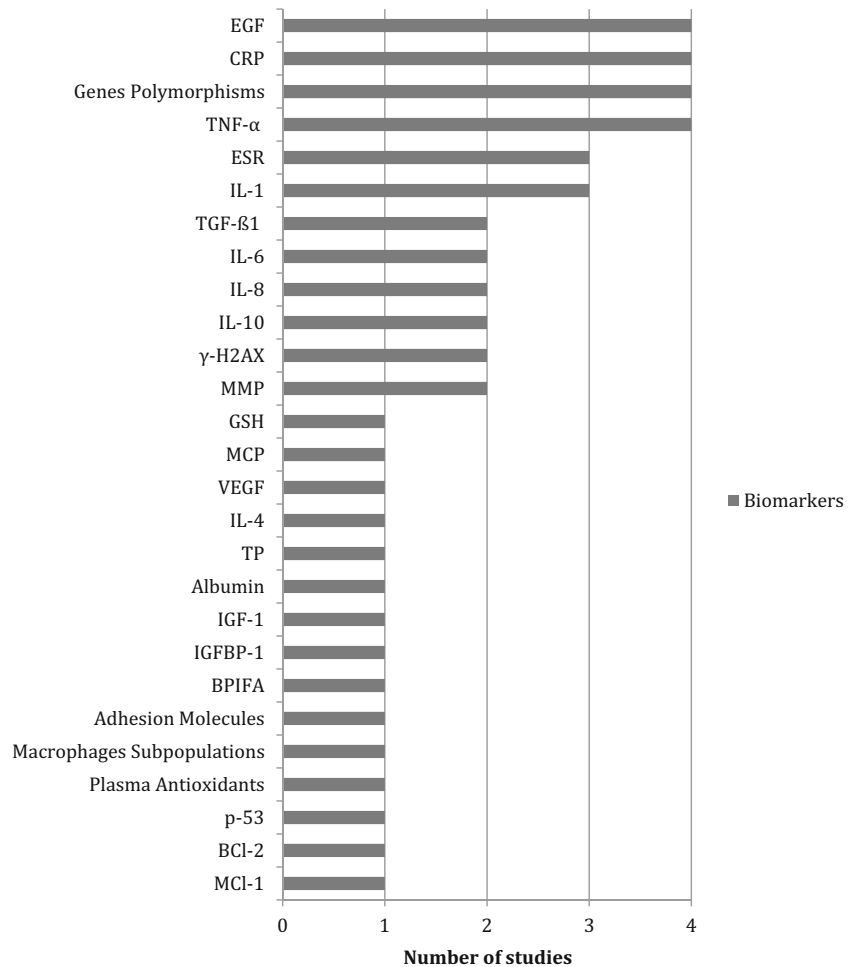
assessing KGF, EGF, TGF- $\beta$ , IL-11, granulocyte–macrophage colony-stimulating factor (GM-CSF), and granulocyte colony-stimulating factor (G-CSF). Due to insufficient and conflicting evidence, they could not provide a guideline for the use of none of these growth factors and cytokines for the prevention or treatment of OM in HNC patients.

Acute-phase inflammatory markers are also used as biomarkers to predict the risk for patients developing OM as a consequence of cancer treatment. CRP is one of these markers, and it contributes to body defense by neutralizing inflammatory agents and it can be easily measured as a quantitative marker of inflammatory activity [41]. CRP was reported to increase towards the end of RT [41, 44, 51, 55], and while Ki et al. [51] demonstrated a correlation between this increase and the progression of mucositis, Chethana et al. [41] could only observe this correlation during the initial weeks of treatment.

ESR is another important marker of the acute-phase inflammatory response, used to evaluate benign inflammatory conditions and neoplastic diseases [41, 51, 55]. An increase in ESR levels during cancer treatment was observed, followed by a decrease in concentration of this biomarker. This variation was related to the grading of mucositis, which also initially increased in severity and then decreased towards the end of treatment [41, 55]. Differently, Ki et al. [51] did not find any statistically significant relationship between ESR and mucositis grade. These data support that acute-phase inflammatory proteins may have the potential to act as objective mucositis markers, although their values vary significantly between patients [55].

General proteins, plasma antioxidants, apoptotic proteins, adhesion molecules, and cells were also used as biomarkers in the included studies. Although the number of studies was not so significant, the results were quite relevant. It was demonstrated that there was a correlation between OM grading and increasing levels of the proteins BPIFA-1 [48], ICAM-1, E-selectin, LFA-1, and Mac-1 [49], as well as the pro-apoptotic protein p53 [61] and RM3/1-positive cells [50]. Decreased expression of anti-apoptotic proteins BCL-2 and MCL-1 were also associated to radiation-induced OM [61]. The plasma antioxidant GSH was reported to be associated with OM and to have a radioprotective role [38], while Wardman et al. [59] could not find a correlation between mucositis severity and plasma antioxidants, including GSH. The meta-analysis showed an expression of 39.57% of the proteins BPIFA-1, BPIFA-2, LFA-1, Mac-1, VLA-4, p53, BCL-2, MCL-1, TNF, and IL-1 $\beta$  in the combined samples from the studies of González-Arriagada et al. [48], Handschel et al. [49], and Xanthinaki et al. [61]. The evidence was not strong, and this may be explained by the results that were not homogenous enough. Thus, further studies are still needed to confirm the efficacy of the use of inflammatory and non-inflammatory proteins as biomarkers of OM.

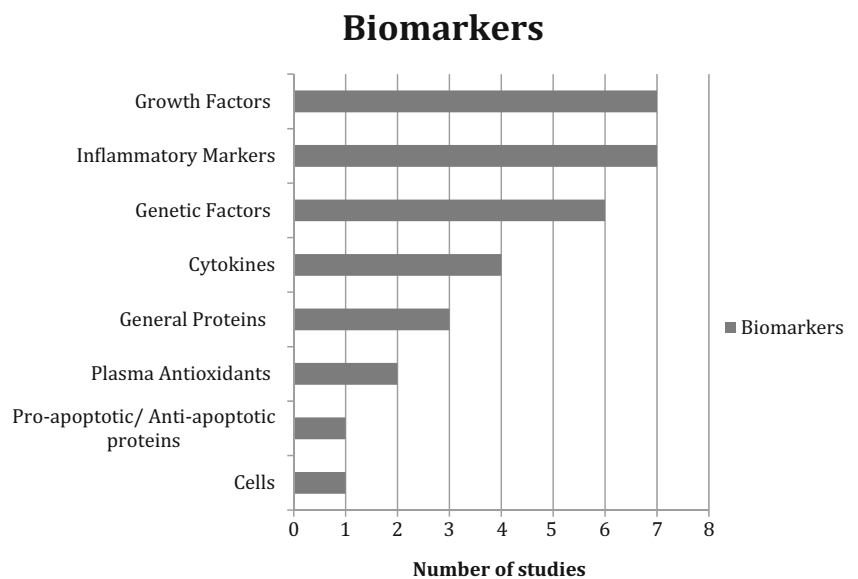
**Fig. 2** Frequency of biomarkers in the included studies. Biomarkers: *BCI-2* B cell lymphoma 2, *BPIFA* bactericidal or permeability-increasing protein family A, *CRP* C-reactive protein, *EGF* epidermal growth factor, *ESR* erythrocyte sedimentation rate, *GSH* glutathione, *IGF-1* insulin growth factor 1, *IGFBP-1* insulin-like growth factor-binding protein 1, *IL* interleukin, *MCL-1* myeloid cell leukemia 1, *MCP-1* monocyte chemoattractant protein 1, *MMP* matrix metalloproteinase, *TNF-α* tumor necrosis factor alpha, *TGF-β1* transforming growth factor beta 1, *TP* total protein, *VEGF* vascular endothelial growth factor



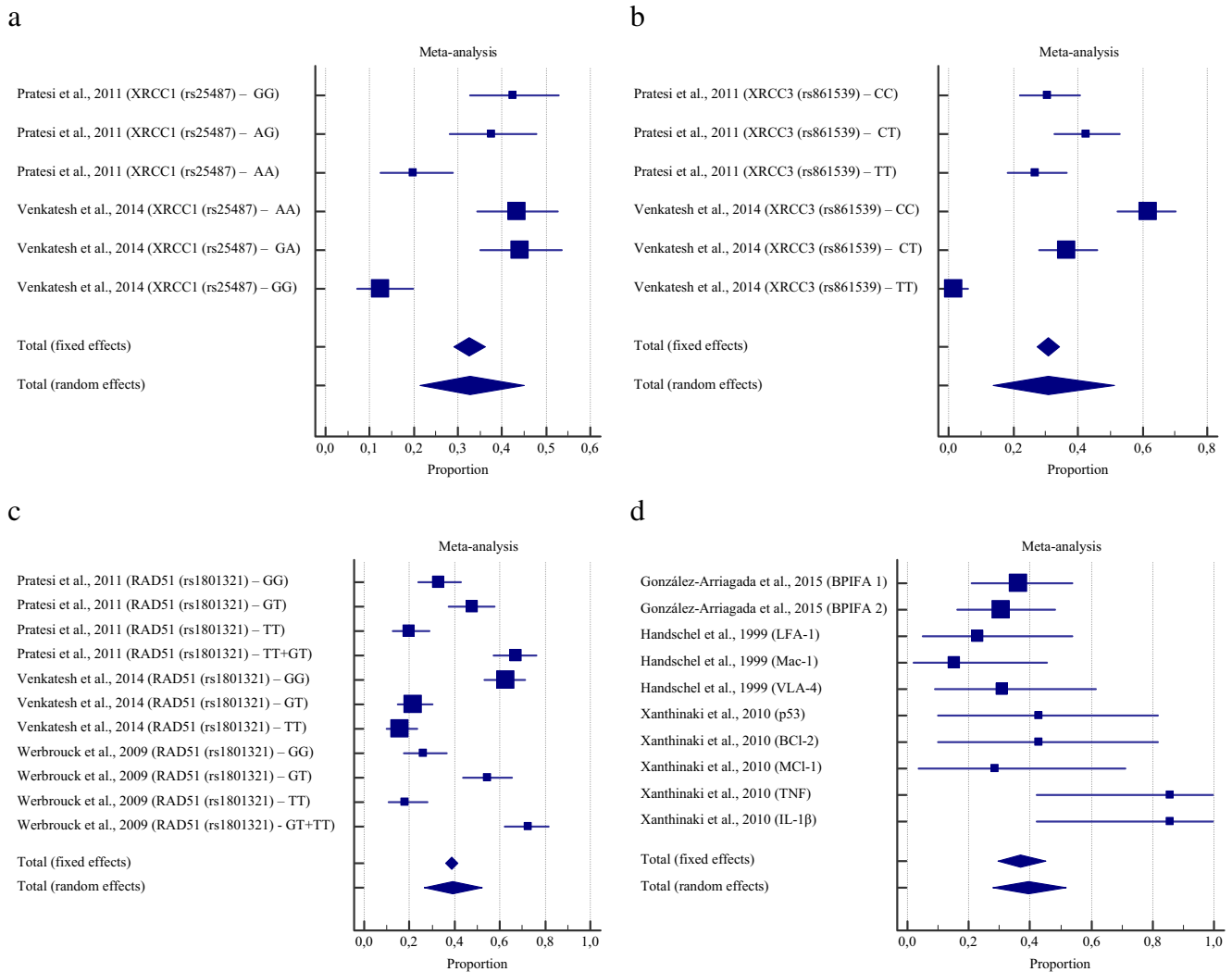
The extension of radiation-induced DNA damage and its repair are considered very relevant indicators of irradiation toxicity. The histone protein  $\gamma$ -H2AX, an essential factor in

the repair process of damaged DNA, is immediately phosphorylated at sites of DNA double-strand breaks (DSBs), and its levels have been used to quantify the ability of cells

**Fig. 3** Frequency of grouped biomarkers in the included studies







**Fig. 4** Frequency of genetic polymorphisms and protein expression associated to OM risk. Results from two types of meta-analysis: fixed and random effects. **a** Forest plot for polymorphism in XRCC1 (rs25487)

(sample = 663). **b** Forest plot for polymorphism in XRCC3 (rs861539) (sample = 663). **c** Forest plot for polymorphism in RAD51 (rs1801321) (sample = 1116). **d** Forest plot for protein expression (sample = 146)

to damage and repair DNA after irradiation [52]. A study by Li et al. [52] observed higher  $\gamma$ -H2AX levels by the end of RT, but the increase in  $\gamma$ -H2AX expression was not statistically different between patients with mild OM and severe OM, although the patients with severe OM had a reduced capacity for DNA repair. In order to estimate sensitivity and specificity of the relative fluorescence of  $\gamma$ -H2AX to predict the risk of OM during RT, they performed a receiver operating characteristic (ROC) analysis that indicated sensitivity and specificity of 100 and 53.3%, respectively [51]. Another study also indicated that patients who developed mild mucositis had a total amount of DSB repair similar to patients who developed severe OM [47]. It was proven that the detection of  $\gamma$ -H2AX induced by irradiation could be used to predict the incidence and severity of toxicities like OM, since it allows assessment of individual DSB repair after RT [47, 52].

SNPs in DNA repair genes can modify their function and consequently interfere in the individual's capacity to repair damaged DNA; thus, variations in specific genes could be associated to the susceptibility of development of radiation toxicities [56]. It was demonstrated that polymorphisms in XRCC1, XRCC3, and RAD51 genes were associated to an increased risk of developing toxicities related to RT, including severe OM [3, 56, 60]. Pratesi et al. [56] and Werbrouck et al. [60] tested the relationship between dose parameter and adverse radiation effects with the Mann-Whitney test. The following expression levels in the meta-analysis of polymorphisms: 32.66% (XRCC1), 31.00% (XRCC3), and 39.16% (RAD1) were found in the combined samples from the studies of Pratesi et al. [56], Venkatesh et al. [3], and Werbrouck et al. [60]. The evidence was not strong, and this may be explained by the results that were not homogenous enough. Despite the

increasing number of studies regarding SNPs, the evidence is still not strong enough to suggest the use of these polymorphisms as biomarkers to predict tissue toxicity.

### Limitations

Some methodological limitations of this review should be considered. First is the small number of patients included in the studies; however, it is important to notice that head and neck cancer is an uncommon cancer and a sample of approximately 20 patients in each study should be considered as representative. Second, many studies did not include in the analysis the outcomes of people who withdrew nor identified confounding factors, what increased the risk of bias of these studies. Lastly, the heterogeneity of biomarkers made it difficult to compare a significant amount of studies about the same marker.

### Conclusion

This systematic review and meta-analysis demonstrates that biomarkers emerge as potential predictors for OM in HNC patients. Thus, dosing biomarkers related to mucositis before starting RT can identify radiosensitive individuals and allow these patients to have a customized treatment plan which might have less chances of interruption. Additionally, the biomarkers that have been proven to be more effective in predicting the risk of mucositis were CRP, ESR, and EGF. Although there is currently limited evidence to confirm the putative implementation of serum and salivary biomarkers to assess the correlation between them and the severity of mucositis, this current review provides new research directions. It is recommended that this new research be in the format of well-designed experimental studies, following closely to research guidelines, and sensible to the most used and relevant biomarkers.

**Acknowledgements** The authors thank Mr. Wesam Ashour for providing language help.

### Compliance with ethical standards

**Funding** This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

**Conflict of interest** The authors declare that they have no competing interests.

### References

- Shield KD, Ferlay J, Jemal A, Sankaranarayanan R et al (2017) The global incidence of lip, oral cavity, and pharyngeal cancers by subsite in 2012. *CA Cancer J Clin* 67(1):51–64
- Cabrera AR, Yoo DS, Brizel DM (2013) Contemporary radiotherapy in head and neck cancer. *Surg Oncol Clin N Am* 22(3):579–598
- Venkatesh GH, Manjunath VB, Mumbrekar KD et al (2014) Polymorphisms in radio-responsive genes and its association with acute toxicity among head and neck cancer patients. *PLoS One* 9(3):89079–89079
- Vera-Llonch M, Oster G, Hagiwara M et al (2006) Oral mucositis in patients undergoing radiation treatment for head and neck carcinoma. *Cancer* 106(2):329–336
- Scully C, Epstein J, Sonis S (2003) Oral mucositis: a challenging complication of radiotherapy, chemotherapy, and radiochemotherapy. *Head Neck* 25(12):1057–1070
- Villa A, Sonis ST (2015) Mucositis: pathobiology and management. *Curr Opin Oncol* 27(3):159–164
- Scully C, Epstein J, Sonis S (2004) Oral mucositis: a challenging complication of radiotherapy, chemotherapy, and radiochemotherapy. Part 2. *Head Neck* 26(1):77–84
- Lalla RV, Bowen J, Barasch A et al (2014) MASCC/ISOO clinical practice guidelines for the management of mucositis secondary to cancer therapy. *Cancer* 120(10):1453–1461
- Sonis ST (2009) Mucositis: the impact, biology and therapeutic opportunities of oral mucositis. *Oral Oncol* 45(12):1015–1020
- Sonis ST, Elting LS, Keefe D et al (2004) Perspectives on cancer therapy-induced mucosal injury: pathogenesis, measurement, epidemiology, and consequences for patients. *Cancer* 100(9 Suppl):1995–2025
- Jehlich N, Stegmaier P, Golatowski C et al (2015) Differences in the whole saliva baseline proteome profile associated with development of oral mucositis in head and neck cancer patients undergoing radiotherapy. *J Proteome* 125:98–103
- Strimbu K, Tavel JA (2010) What are biomarkers. *Curr Opin HIV AIDS* 5(6):463–466. doi:10.1097/COH.0b013e32833ed177
- Patel S, Ahmed S (2015) Emerging field of metabolomics: big promise for cancer biomarker identification and drug discovery. *J Pharm Biomed Anal* 107:63–74
- Moher D, Liberati A, Tetzlaff J, Altman DG, PRISMA Group (2010) Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *Int J Surg* 8:336–341
- Normando AGCN, Rocha CL, de Toledo IP, et al. 2016 Biomarkers in the assessment of oral mucositis in head and neck cancer patients: a systematic review. PROSPERO: CRD42016037299. Available at: [http://www.crd.york.ac.uk/PROSPERO/display\\_record.asp?ID=CRD42016037299](http://www.crd.york.ac.uk/PROSPERO/display_record.asp?ID=CRD42016037299). Accessed September 20, 2016
- National Comprehensive Cancer Network. 2016 NCCN clinical practice guidelines in oncology: head and neck cancers (version 2). [Accessed 20 Dec 2016]. Available in: <http://www.nccn.org>
- The Joanna Briggs Institute. (2014). The Joanna Briggs Institute Reviewer’s Manual 2014 Edition: Meta Analysis of Statistics Assessment and Review Instrument (MAStARI) critical appraisal tools Comparable cohort/ Case control studies. Adelaide, Australia: The Joanna Briggs Institute
- Higgins JPT, Green S (editors). 2011. *Cochrane Handbook for Systematic Reviews of Interventions* version 5.1.0 [updated March 2011]. The Cochrane Collaboration, Available from [www.handbook.cochrane.org](http://www.handbook.cochrane.org).
- Balshem H et al (2011) GRADE guidelines: 3. Rating the quality of evidence. *J Clin Epidemiol* 64(4):401–406
- Schünemann H et al. GRADE handbook for grading quality of evidence and strength of recommendations. Updated October 2013. The GRADE Working Group, 2013. Available from [www.guidelinedevelopment.org/handbook](http://www.guidelinedevelopment.org/handbook)

21. Akmansu M, Unsal D, Bora H et al (2005) Influence of locoregional radiation treatment on tumor necrosis factor- $\alpha$  and interleukin-6 in the serum of patients with head and neck cancer. *Cytokine* 31(1):41–45
22. Ardito F, Giuliani M, Perrone D et al (2016) Expression of salivary biomarkers in patients with oral mucositis: evaluation by SELDI-TOF/MS. *Oral Dis* 22(3):209–219
23. Bonan PRF, Kaminagakura E, Pires FR et al (2007) Histomorphometry and immunohistochemical features of grade I (WHO) oral radiomucositis. *Oral Dis* 13(2):170–176
24. Bourton EC, Plowman PN, Smith D et al (2011) Prolonged expression of the  $\gamma$ -H2AX DNA repair biomarker correlates with excess acute and chronic toxicity from radiotherapy treatment. *Int J Cancer* 129(12):2928–2934
25. Christensen ME, Hansen HS, Poulsen SS et al (1996) Immunohistochemical and quantitative changes in salivary EGF, amylase and haptocorrin following radiotherapy for oral cancer. *Acta Otolaryngol* 116(1):137–143
26. Goutham HV, Mumbreakar KD, Vadhiraja BM et al (2012) DNA double-strand break analysis by  $\gamma$ -H2AX foci: a useful method for determining the overreactors to radiation-induced acute reactions among head-and-neck cancer patients. *Int J Radiat Oncol Biol Phys* 84(5):e607–e612
27. Hamilton S, Yoo J, Hammond A et al (2008) Microvascular changes in radiation-induced oral mucositis. *J Otolaryngol Head Neck Surg* 37(5):730–737
28. Henke M, Bechtold C, Momm F et al (2000) Blood hemoglobin level may affect radiosensitivity-preliminary results on acutely reacting normal tissues. *Int J Radiat Oncol Biol Phys* 48(2):339–345
29. Ho AY, Atencio DP, Peters S et al (2006) Genetic predictors of adverse radiotherapy effects: the Gene-PARE project. *Int J Radiat Oncol Biol Phys* 65(3):646–655
30. Ikebe T, Yamasaki K, Takamune Y et al (2012) Reduced expression of nuclear factor  $\kappa$ B in oral mucosa undergoing preoperative chemoradiotherapy. *Oral Science International* 9(2):33–37
31. Krause CE, Otieno BA, Bishop GW et al (2015) Ultrasensitive microfluidic array for serum pro-inflammatory cytokines and C-reactive protein to assess oral mucositis risk in cancer patients. *Anal Bioanal Chem* 407(23):7239–7243
32. Oton-Leite AF, Silva GBL, Morais MO et al (2015) Effect of low-level laser therapy on chemoradiotherapy-induced oral mucositis and salivary inflammatory mediators in head and neck cancer patients. *Lasers Surg Med* 47(4):296–305
33. Popanda O, Marquardt JU, Chang-Claude J et al (2009) Genetic variation in normal tissue toxicity induced by ionizing radiation. *Mutat Res* 667(1–2):58–69
34. Sonis S, Haddad S, Posner M et al (2007) Gene expression changes in peripheral blood cells provide insight into the biological mechanisms associated with regimen-related toxicities in patients being treated for head and neck cancers. *Oral Oncol* 43(3):289–300
35. Verey F, Nexo E, Greenwood R et al (2011) Trefoil factor family peptides are increased in the saliva of children with mucositis. *Clin Chem Lab Med* 49(12):2051–2055
36. Werbrout J, Duprez F, De Neve W et al (2011) Lack of a correlation between  $\gamma$ H2AX foci kinetics in lymphocytes and the severity of acute normal tissue reactions during IMRT treatment for head and neck cancer. *Int J Radiat Biol* 87(1):46–56
37. Zou G, Lin X, Wu J et al (2012) Association of serum transforming growth factor-beta1 with radiation injury and survival of patients with early-stage nasopharyngeal carcinoma. *Nan Fang Yi Ke Da Xue Xue Bao* 32(8):1171–1174
38. Bhattathiri VN, Sreelekha TT, Sebastian P et al (1994) Influence of plasma GSH level on acute radiation mucositis of the oral cavity. *Int J Radiat Oncol Biol Phys* 29(2):383–386
39. Chen HW, Chang YC, Lai YL et al (2005) Change of plasma transforming growth factor-beta1 levels in nasopharyngeal carcinoma patients treated with concurrent chemo-radiotherapy. *Jpn J Clin Oncol* 35(8):427–432
40. Chen HW, Yang SF, Chang YC et al (2008) Epstein-Barr virus infection and plasma transforming growth factor-beta1 levels in head and neck cancers. *Acta Otolaryngol* 128(10):1145–1151
41. Chethana RPS, Madathil LP et al (2015) Quantitative analysis of acute phase proteins in post chemo-radiation mucositis. *J Clin Diagn Res* 9(10):ZC28–ZC31
42. Citrin DE, Hitchcock YJ, Chung EJ et al (2012) Determination of cytokine protein levels in oral secretions in patients undergoing radiotherapy for head and neck malignancies. *Radiat Oncol* 7:64
43. Dumbrigue HB, Sandow PL, Nguyen KHT et al (2000) Salivary epidermal growth factor levels decrease in patients receiving radiation therapy to the head and neck. *Oral Surg Oral Med Oral Pathol Oral Radiol Endod* 89(6):710–716
44. Ehrsson YT, Hellström PM, Brismar K et al (2010) Explorative study on the predictive value of systematic inflammatory and metabolic markers on weight loss in head and neck cancer patients undergoing radiotherapy. *Support Care Cancer* 18(11):1385–1391
45. Epstein JB, Emerton S, Guglietta A et al (1997) Assessment of epidermal growth factor in oral secretions of patients receiving radiation therapy for cancer. *Oral Oncol* 33(5):359–363
46. Epstein JB, Gorsky M, Guglietta A et al (2000) The correlation between epidermal growth factor levels in saliva and the severity of oral mucositis during oropharyngeal radiation therapy. *Cancer* 89(11):2258–2265
47. Fleckenstein J, Kühne M, Seegmüller K et al (2011) The impact of individual in vivo repair of DNA double-strand breaks on oral mucositis in adjuvant radiotherapy of head-and-neck cancer. *Int J Radiat Oncol Biol Phys* 81(5):1465–1472
48. Gonzalez-Arriagada WA, Ramos LMA, Silva AA et al (2015) Salivary BPIFA1 (SPLUNC1) and BPIFA2 (SPLUNC2 A) are modified by head and neck cancer radiotherapy. *Oral Surg Oral Med Oral Pathol Oral Radiol* 119(1):48–58
49. Handschel J, Prott FJ, Sunderkötter C et al (1999) Irradiation induces increase of adhesion molecules and accumulation of beta(2)-integrin-expressing cells in humans. *Int J Radiat Oncol Biol Phys* 45(2):475–481
50. Handschel J, Sunderkötter C, Prott FJ et al (2001) Increase of RM3/1-positive macrophages in radiation-induced oral mucositis. *J Pathol* 193(2):242–247
51. Ki Y, Kim W, Nam J et al (2009) C-reactive protein levels and radiation-induced mucositis in patients with head-and-neck cancer. *Int J Radiat Oncol Biol Phys* 75(2):393–398
52. Li P, Du CR, Xu WC et al (2013) Correlation of dynamic changes in gamma-H2AX expression in peripheral blood lymphocytes from head and neck cancer patients with radiation-induced oral mucositis. *Radiat Oncol* 8:155
53. Lundberg M, Saarilahti K, Mäkitie AA et al (2010) TGF beta 1 genetic polymorphism is associated with survival in head and neck squamous cell carcinoma independent of the severity of chemoradiotherapy induced mucositis. *Oral Oncol* 46(5):369–372
54. Meirovitz A, Kuten M, Billan S et al (2010) Cytokines levels, severity of acute mucositis and the need of PEG tube installation during chemo-radiation for head and neck cancer—a prospective pilot study. *Radiat Oncol* 5:16
55. Mohammed FF, Poon I, Zhang L et al (2012) Acute-phase response reactants as objective biomarkers of radiation-induced mucositis in head and neck cancer. *Head Neck* 34(7):985–993
56. Pratesi N, Mangoni M, Mancini I et al (2011) Association between single nucleotide polymorphisms in the XRCC1 and RAD51 genes and clinical radiosensitivity in head and neck cancer. *Radiation Oncol* 99(3):356–361

57. Seyyednejad F, Rezaee A, Haghi S et al (2012) Survey of pre-inflammation cytokines levels in radiotherapy-induced-mucositis. *Pak J Biol Sci* 15(22):1098–1101
58. Vuotila T, Ylikontiola L, Sorsa T et al (2002) The relationship between MMPs and pH in whole saliva of radiated head and neck cancer patients. *J Oral Pathol Med* 31(6):329–338
59. Wardman P, Folkes LK, Bentzen SM et al (2001) Influence of plasma glutathione levels on radiation mucositis. *Int J Radiat Oncol Biol Phys* 51(2):460–464
60. Werbrouck J, Ruyck KD, Duprez F et al (2009) Acute normal tissue reactions in head-and-neck cancer patients treated with IMRT: influence of dose and association with genetic polymorphisms in DNA DSB repair genes. *Int J Radiat Oncol Biol Phys* 73(4): 1187–1195
61. Xanthinaki A, Nicolatou-Galitis O, Athanassiadou P et al (2008) Apoptotic and inflammation markers in oral mucositis in head and neck cancer patients receiving radiotherapy: preliminary report. *Support Care Cancer* 16(9):1025–1033
62. Von Bültzingsloöwen I, Brennan MT, Spijkervet FKL et al (2006) Growth factors and cytokines in the prevention and treatment of oral and gastrointestinal mucositis. *Support Care Cancer* 14(6): 519–527
63. Raber-Durlacher JE, von Bültzingslöwen I, Logan RM et al (2013) Systematic review of cytokines and growth factors for the management of oral mucositis in cancer patients. *Support Care Cancer* 21: 343–355